

Jewels

*New York, 6 December 2018*



PHILLIPS









Executives.



**Ed Dolman**  
Chief Executive Officer  
+1 212 940 1241  
edolman@phillips.com  
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**Cheyenne Westphal**  
Chairman  
+44 20 7318 4044  
cwestphal@phillips.com

New York.



**Susan Abeles**  
Head of Department,  
Americas and Senior  
International Specialist  
sabeles@phillips.com  
+1 212 940 1383



**Nazgol Jahan**  
International Specialist  
njahan@phillips.com  
+1 212 940 1283



**Eva Violante**  
Senior Specialist  
eviolante@phillips.com  
+1 212 940 1316



**Anne Mock**  
Associate Specialist  
amock@phillips.com  
+1 212 940 1346

Hong Kong.



**Terry Chu**  
Head of Jewellery, Asia  
terrychu@phillips.com  
+852 2318 2038



**Charlene Lau**  
Senior Specialist,  
Head of Sale  
charlenelau@phillips.com  
+852 2318 2039



**Anellie Manolas**  
International Specialist  
anellie@phillips.com  
+852 2318 2041



**Yoki Chan**  
Junior Specialist  
yokichan@phillips.com  
+852 2318 2036

Deputy Chairmen.



**Svetlana Marich**  
Worldwide Deputy  
Chairman  
+44 20 7318 4010  
smarich@phillips.com



**Jean-Paul Engelen**  
Worldwide Co-Head  
of 20th Century &  
Contemporary Art,  
Deputy Chairman  
+1 212 940 1390  
jpengelen@phillips.com



**Robert Manley**  
Worldwide Co-Head  
of 20th Century &  
Contemporary Art,  
Deputy Chairman  
+1 212 940 1358  
rmanley@phillips.com



**Jonathan Crockett**  
Deputy Chairman, Asia,  
Head of 20th Century &  
Contemporary Art, Asia  
+852 2318 2023  
jcrockett@phillips.com



**Peter Sumner**  
Deputy Chairman, Europe,  
Senior International  
Specialist, 20th Century &  
Contemporary Art  
+44 20 7318 4063  
psummer@phillips.com



**Miety Heiden**  
Deputy Chairman,  
Head of Private Sales  
+44 20 7901 7943  
mheiden@phillips.com



**Alexander Payne**  
Deputy Chairman,  
Europe, Worldwide  
Head of Design  
+44 20 7318 4052  
apayne@phillips.com



**Vanessa Hallett**  
Deputy Chairman,  
Americas, Worldwide Head  
of Photographs  
+1 212 940 1243  
vhallett@phillips.com



**Vivian Pfeiffer**  
Deputy Chairman,  
Americas, Head of  
Business Development,  
Americas  
+1 212 940 1392  
vpfeiffer@phillips.com



**Marianne Hoet**  
Deputy Chairman, Europe  
Senior Specialist,  
20th Century &  
Contemporary Art  
+32 3257 3026  
mhoet@phillips.com

London.



**Sarah O'Brien**  
International Business  
Development Director  
sobrien@phillips.com  
+44 20 7901 7942



**Lane Mclean**  
Specialist  
lmclean@phillips.com  
+44 20 7318 4032

Taiwan.



**Louisa Chan**  
Specialist  
lchan@phillips.com  
+866 2 2758 5505



**Tomoko Mizutani**  
Consultant  
tmizutani@phillips.com  
+81 80 9284 4386

Tokyo.

Co-International  
Business Directors.



**Myriam Christinaz**  
Co-International  
Business Director, Jewelry & Watches  
mchristinaz@phillips.com  
+41 22 317 8184



**Myriam Christinaz**  
Co-International  
Business Director, Jewelry & Watches  
bvanson@phillips.com  
+44 20 7901 79124









iii. A Diamond Brooch, Van Cleef & Arpels





## **Jewels**

### ***New York, 6 December 2018***

**Auction and Viewing Location**  
450 Park Avenue New York 10022

**Auction**  
6 December 2018 at 2pm

**Viewing**  
30 November – 6 December  
Monday – Saturday 10am – 6pm  
Sunday 12pm – 6pm

**Sale Designation**  
When sending in written bids or  
making enquiries please refer to this  
sale as NY060118 or Jewels.

**Absentee and Telephone Bids**  
tel +1 212 940 1228  
fax +1 212 924 1749  
bidsnewyork@phillips.com

#### **Jewels Department**

**Head of Department, Americas,  
Senior International Specialist**  
Susan Abeles  
+1 212 940 1283  
sabeles@phillips.com

**International Specialist**  
Nazgol Jahan  
+1 212 940 1383  
njahan@phillips.com

**Senior Specialist**  
Eva Violante  
+1 212 940 1316  
eviolante@phillips.com

**Associate Specialist**  
Anne Mock, GG  
+1 212 940 1346  
amock@phillips.com

**Administrator**  
Alexi Riggins, GG  
+1 212 940 1377  
ariggins@phillips.com





Property from a New York Family Collection

**I. A Louis XVI Biocolored Gold Snuff-Box,  
Paris 1788/89**

Signed, possibly with the charge and discharge of Jean-Francois Kalandrin  
18 karat yellow gold, approximately 2.75 x 2.10 x 0.80 inches

**Estimate**  
\$6,000-8,000









Property from a New York Family Collection

**2. A Gold Box, Circa 1863**

With London assay marks  
18 karat yellow gold, approximately 3.25 x 2.00 x 0.75 inches

**Estimate**  
\$3,500-5,500



Property from a New York Family Collection

**3. A Gold Case, Circa 1870**

With Austrian assay marks  
14 karat yellow gold, approximately 3.25 x 2.00 x 0.50 inches

**Estimate**  
\$2,000-3,000



Property from a New York Family Collection

**4. A Bicolored Gold Continental Snuff-Box,  
Late 18th Century**

Signed, possibly with the charge and discharge of Hanau  
18 karat yellow gold, approximately 3.50 x 1.75 x 1.35 inches

**Estimate**  
\$3,000-5,000





Property from a New York Family Collection

### 5. An Enamel, Gold and Diamond Box

Rose- and old mine-cut, total approximately 0.25 carat  
14 karat yellow gold, approximately 2.65 x 2.35 inches

#### Estimate

\$2,500-3,500



Property from a New York Family Collection

### 6. A Gold and Enamel Snuff-Box

Signed, possibly by Jean-Baptiste Carnay with the charge  
and discharge of Julien Alaterre  
18 karat yellow gold, approximately 2.50 x 2.75 x 1.10 inches

#### Estimate

\$5,000-7,000



Property from a New York Family Collection

**7. A Gold and Enamel Snuff-Box,  
Paris 1774**

Signed, possibly Eloi-Denis Thierry with a charge and a  
discharge of Jean Baptiste Fouache  
18 karat yellow gold, approximately 2.50 x 2.75 x 1.10 inches

**Estimate**  
\$6,000-8,000





Property from a New York Family Collection

**8. A Gold, Enamel and Diamond Case,  
Cartier, Circa 1930**

Single-cut diamonds, total approximately 0.40 carat  
Signed, numbered, with Paris assay marks  
18 karat yellow gold, approximately 3.80 x 3.25 x 0.65 inches

**Estimate**  
\$5,000-7,000

The striking and unusual art deco jeweled creations by Cartier reflected society's exposure and interest in various cultures. Color, shape, type, and form of decoration and composition grew from strong Egyptian, Persian, Indian, Chinese and Japanese influences. For example, the small lacquer containers, called Inro, that Japanese men wore around their waists to hold their personal belongings (money or snuff), influenced the shape and possible design of cigarette cases and vanities. Similarly, artifacts were incorporated into designs, including Egyptian faience, Mugol carved beads, and Japanese lacquer burgauté. Shared motifs, color palettes, gemstones, and forms were also utilized in these works; all of which resulted in the creation of extraordinary jewelry, objects and timepieces.

This art deco case illustrates how Cartier combined elements from the Persian and Indian cultures. In fact, the central enamel plaque, in traditional Indian color and material, depicts two birds on a tree which relates to Persian mythology. Ohmazd, the creator, produced a tree with ripened seeds on the shore of sea Vourukasha. The bird, Amrosh, comes to sit on the branch and shakes it, scattering the seeds to the ground. The second bird, Chamrosh, comes and scatters the seeds upon the sea, from which they are taken inside the clouds and are rained upon earth. The birds are immortal and the cycle is perpetuated.

This Persian motif was favored and used among several vanity and cigarette cases and similar smoking implements. The earliest case with this motif was created in 1911 for Cartier stock by Henri Lavabre in Paris. According to the Cartier archives, the enamel plaque was supplied by Imre Schwaiger, a Hungarian associate who help procure Indian jewelry and objects for Cartier. It is not definite whether other plaques were part of this early purchase, but nevertheless the bird and tree motif was a dominant theme, with several examples produced circa 1930. For a similar example please see vanity in The Prince and Princess Sadruddin Aga Khan Collection. While several jeweled objects were created with this inset motif, each is unique and enhanced further with individual enameling and ornamentation, making no two alike.









Property from a New York Family Collection

**9. An Art Deco Gold, Enamel, Diamond and Onyx Cigarette Case, Cartier, Circa 1930**

Rose-cut diamonds, total approximately 0.25 carat  
Signed, numbered, with French assay marks  
18 karat yellow gold, approximately 3.00 x 1.75 x 0.45 inches

**Estimate**  
\$10,000-15,000





Property from a New York Family Collection

**10. A Gold and Diamond Minaudiere  
Compact with Concealed Watch,  
Cartier, Circa 1930**

Single-cut diamonds, total approximately 0.85 carat

Dial signed, numbered

14 karat yellow gold, approximately 2.50 x 1.50 x 0.50 inches

**Estimate**

\$5,000-7,000



**II. A Diamond, Tsavorite Garnet and Sapphire Brooch**

Rose-, table-, single- and old mine-cut diamonds  
Silver topped gold, approximately 4.75 x 3.25 inches

**Estimate**  
\$5,000-8,000



**12. An Antique Gold, Enamel, and Diamond Openface Keyless Cylinder Pendant Watch, Patek Philippe, Circa 1895**

Two rose-cut diamonds, white enamel dial, green guilloché enamel caseback

Movement no. 106'526, case no. 217'719

Signed, 18 karat yellow gold, case diameter approximately 32.00mm

*Accompanied by an Extract from the Archives.*

**Estimate**  
\$6,000-8,000





Property of a New York Collector

**13. An Art Deco Agate and Enamel Desk Clock, Cartier, Circa 1930**

Manual wind and set movement, European Watch & Clock  
Signed, approximately 4.25 x 3.00 x 1.00 x 0.50 inches

**Estimate**  
\$6,000-9,000



Property of a Vermont Collector

**14. An Arts and Crafts Opal and Gold Necklace, Tiffany & Co.**

Two opals, approximately 22.20 x 10.40 x 2.90mm and  
18.25 x 18.00 x 3.85mm  
Signed, 18 karat yellow gold, approximately 15.75 inches

**Estimate**  
\$4,000-6,000







Edith Weber was considered the American Grand Dame of jewelry connoisseurs. Her knowledge and passion for estate jewelry is legendary. During the nearly fifty years that she operated her store at The Carlyle Hotel, it was the destination of choice for devoted collectors.

Edith saw value in design and history. Her vast inventory spanned more than three-hundred years with a main focus on Georgian and Victorian jewelry. Uniqueness and understanding were important to Edith, as was finding a new home for each jewel that passed through her hands. She was personally invested in each treasure. Her love and wealth of knowledge of the unusual and esoteric was expansive and incomparable. She was eager to discuss each jewel at length, providing her clients with details and history to aid in their collecting.

Edith grew up working in the family antique business, yet her family never sold jewelry. She received her Bachelor of Arts and Master of Arts degrees from Brooklyn College. She tried acting, but eventually turned to teaching speech and theatre, before finally settling on working with jewelry. During her fifty years in the jewelry business, she has written, lectured and been published and quoted in numerous articles on antique jewelry. As a member of the Appraisers Association of America, she served for several years on the Board of Directors. She was Adjunct Professor at Long Island University and taught classes at the 92nd Street Y in New York City. What set Edith Weber apart was her expertise, lust and unique presentation.

Phillips is honored to present a few items that once were part of this leading lady's personal collection. Each of these jewels may seem to be an odd ball, but no, they are just sui generis – and reflect her passion and understanding for the unusual. This Victorian Automaton exemplifies her interest, her passion, her humor and her diversity. One quick turn is a conversation starter for this metaphorical Eros brooch – sending arrows of love to those that are near. Please see lots 15, 16, 17, 18, 41, and 60.



Property Formerly Owned by Edith Weber

**15. A Victorian Diamond and Enamel Automaton Brooch, Circa 1860**

Three old mine-cut diamonds, total approximately 0.95 carat  
18 karat yellow gold and silver topped gold, approximately  
1.75 x 1.20 inches

**Estimate**  
\$10,000-15,000



## Ojime Beads

During the Edo period (1615–1868), the ruling Tokugawa government of Japan banned ostentatious displays of wealth in public. For example, only upper class samurai warriors were permitted to wear double swords. One personal item that circumvented these limitations was ensembles of “hanging objects” (sagemone). Fastened with a cord and hung from one’s belt, the utilitarian fashion accessories ranged from toggles (netsuke), tiered containers (inrô) for medicines and seals, and bead fasteners (ojime), to coin purses (kinchaku). All were visual markers of wealth and status. Elaborately realized by hand, great labor and expense were invested into the construction of these small works of art.

The sagemone were made of the most coveted materials: mother-of-pearl, coral, precious stones, tortoiseshell, gold dusted laquer, gold, and ivory to name a few. Many of the most accomplished craftsman of the day created these works that feature an extensive range of subject matter such as romantic, religious, poetic and political themes that reference both the supernatural and natural world.

The Ojime beads in the necklace illustrated here dates back to the Meiji period in the second half of the 19th century. Though Ojime were not historically worn together on a strand, we are thrilled with the remarkable collection of hand carved beads and the way that they compliment each other in this thoughtfully made necklace. This piece is comprised of a variety of metals, but what makes the piece so desirable are the two highly-coveted gold ojime beads. One gold cylindrical bead depicts a “rakan” or holy man, while the other gold bean-shaped ojime opens to reveal a clandestine hand carved erotic scene, making this bead the most unusual ojime on the strand.





Property Formerly Owned by Edith Weber

**16. A Mixed Metal Ojime Bead Necklace**

23 bronze, iron, copper, gold and silver beads  
Approximately 23.00 inches

**Estimate**  
\$8,000-12,000







Property Formerly Owned by Edith Weber

**17. A Mixed Metal Ojime Bead Necklace**

17 bronze, iron, copper, gold and silver beads  
Approximately 17.75 inches

**Estimate**  
\$6,000-9,000



Property Formerly Owned by Edith Weber

### 18. A Natural Freshwater Pearl and Diamond Ring

A button-shaped natural pearl, approximately 7.14mm  
Two old mine-cut diamonds, total approximately 1.00 carat  
Platinum and 14 karat yellow gold, size 3¼

*GIA Report: Natural Freshwater Pearl*

**Estimate**  
\$6,000-8,000



Property of a New York Collector

### 19. A Belle Époque Diamond Brooch

Old European-cut diamonds, total approximately 4.80 carats  
Platinum, approximately 1.25 inches

**Estimate**  
\$4,000-6,000



Property of a Miami Collector

### 20. An Art Deco Diamond and Emerald Watch Pendant, Gattle, Circa 1925

Pear-shaped, single- and old European-cut diamonds, total approximately 3.50 carats  
Calibre-cut emeralds, total approximately 1.65 carats  
Signed, mechanical movement  
Platinum, case approximately 21.73 x 14.87mm

**Estimate**  
\$4,000-6,000





Property of a Lady

**21. A Set of Art Deco Diamond and Sapphire Bracelets**

**DIAMOND BRACELET**

33 Old European-cut diamonds, total approximately 8.25 carats  
18 karat white gold, approximately 7.80 inches

**SAPPHIRE BRACELET**

42 French-cut sapphires, total approximately 14.30 carats  
18 karat white gold, approximately 7.10 inches

**Estimate**

\$4,000-6,000









Property from a New Jersey Estate

## 22. An Important Kashmir Sapphire and Diamond Ring

A cushion-shaped sapphire, 19.40 carats  
Rose-cut diamonds, total approximately 4.50 carats  
18 karat white gold, size 6

*AGL Report: Kashmir, no indications of heating*

*AGTA Report: Kashmir, no indications of heating*

*Gübelin Report: Kashmir, no indications of heating*

*GIA Report: Kashmir, no indications of heating*

*Accompanied by a letter from the GIA indicating the rarity of Kashmir sapphires*

### Estimate

\$400,000-600,000



**23. An Opal and Diamond Ring, Tiffany & Co.**

An oval-shaped black opal cabochon, total 10.56 carats  
Round brilliant-cut diamonds, total 0.96 carat  
Signed, numbered  
Platinum, size 6¼

*AGTA REPORT: Natural Black Opal  
Accompanied by a copy of a Tiffany & Co. retail replacement  
valuation letter.*

**Estimate**  
\$15,000-25,000



**24. An Belle Époque Burmese Ruby and Diamond Ring**

A modified square cushion-shaped ruby, 1.80 carats  
Single-cut diamonds, total approximately 0.08 carat  
Platinum, size 5

*AGL Report: Burma, no gemological evidence of heat*

**Estimate**  
\$10,000-12,000



Property from a Philadelphia Family

**25. A Colombian Emerald and Diamond Ring**

A rectangular emerald-cut emerald, 4.23 carats  
Two old European-cut diamonds, total approximately 2.00 carats  
Old European-cut diamonds, total approximately 0.50 carat  
Platinum, size 5¼

*AGL Report: Colombian, minor traditional clarity  
enhancements*

**Estimate**  
\$15,000-25,000



Property from a New Jersey Estate

**26. A Ceylon Star Sapphire and Diamond Ring**

An oval double cabochon star sapphire, 30.55 carats  
Two shield-shaped diamonds, total approximately 1.00 carat  
Platinum, size 8¼

*AGL Report: Ceylon, no indications of heating*

**Estimate**  
\$10,000-15,000







Property of a Lady

**27. A Retro Ruby and Diamond Watch, Hermès, Circa 1945**

Single-cut diamonds, total approximately 1.35 carats  
 Calibre-cut rubies, total approximately 2.50 carats  
 Calibre 101 movement, signed European Watch & Clock Co. (EWC)  
 Signed, numbered, with French assay marks  
 18 karat rose and white gold, approximate diameter 2.20 inches

Note: The watch movement, Caliber 101, was made by Jaeger LeCoultre. It is the world's smallest movement, measuring 14.00 x 4.80 x 3.40mm. Since 1929, the Caliber 101 has been manufactured in very small series.

**Estimate**  
 \$4,000-6,000



Property from a New York Family Collection

**28. A Pair of Retro Gold and Diamond Brooches, Van Cleef & Arpels, 1947**

Round full-cut diamonds, total approximately 0.75 carat  
 Signed, numbered  
 18 karat yellow gold, approximately 3.00 inches

**Estimate**  
 \$5,000-7,000





**29. A Sphene, Diamond and Gold Necklace,  
Attributed to Paul Flato, Circa 1940**

Cushion-shaped sphene, total approximately 75.00 carats  
Old European-cut diamonds, total approximately 1.00 carat  
With French assay marks  
14 karat yellow gold and platinum, approximately 14.00 inches

*Accompanied by a letter of certification.*

**Estimate**

\$15,000-25,000

Flato, an American jeweler, began his career in New York in the late 1920's with a store at One East 57th Street. He swiftly gained success using his flamboyant charm and vivacious sense of humor, cultivating an upscale clientele of socialites and politicians. His enduring designs were imbued with his exuberance and sense of style and were often inspired by his many travels, as well as the Surrealists in Europe. Many of his earlier sophisticated designs of exceptional craftsmanship featured large diamonds acquired from his friend Harry Winston, at the time a relatively unknown wholesale diamond dealer. His collaboration with Winston led to the sale of the famous 'Jonker' diamond, weighting 125.26 carats, made wearable in a necklace he designed. In 1938, he expanded his business to Los Angeles with an outpost on Sunset Boulevard.

Flato's whimsical, voluminous and distinctive jewels appealed to Hollywood's elite, and his charismatic personality especially captivated the glamorous screen idols such as Marlene Dietrich, Greta Garbo and Rita Hayworth, to name a few. He was the first jeweler to cleverly promote and receive credits for an exquisite three-strand diamond necklace adorning Katherine Hepburn in the motion picture movie *Holiday*.

The Flato necklace being offered in the sale is a unique example of Flato's highly collectible work and his affinity and experimentation with all types of gems. The graceful double swag statement necklace composed of sphenes, an unconventional precious stone, is asymmetrically enhanced by a sculpted gold bow and diamond accents. A Vogue advertisement from the 1930's stated "*There is nothing more awful than to wear some new jewelry and have nobody notice it, a contingency you can easily avoid by making sure yours are Jewels by Flato, which is to say, conversation pieces...*" This ad summarizes the impact of Flato's aesthetic and limitless range of creative designs.



Property of an International Gentleman

**30. A Pair of Amethyst, Turquoise and Diamond Earrings, Cartier, Circa 1955**

Two heart-shaped amethyst, total approximately 11.60 carats  
Full- and single-cut diamonds, total approximately 0.60 carat  
Signed, numbered, with French assay marks  
18 karat yellow gold and platinum, approximately 1.00 inch

**Estimate**

\$10,000-15,000

**31. A Gold and Amethyst Brooch/Pendant, Mounted by Cartier**

Three oval-shaped and round amethyst, total approximately 32.00 carats  
Signed, numbered, with French assay marks  
18 karat yellow gold, approximately 1.75 inches

**Estimate**

\$5,000-7,000





Property from an East Coast Collection

**32. A Pair of Diamond Brooches,  
Van Cleef & Arpels, 1970**

Round brilliant- and single-cut diamonds, total  
approximately 1.60 carats  
Signed, platinum and 18 karat yellow gold, approximately  
2.40 inches

**Estimate**  
\$5,000-7,000



Property from an East Coast Collection

**33. A Diamond Enhancer, Circa 1940**

An old European-cut diamond, 5.60 carats  
Full-, single- and calibre-cut diamonds, total approximately  
21.00 carats  
Platinum and 18 karat yellow gold, approximately 2.25 inches

**Estimate**  
\$25,000-45,000



Property of a New York Collector

**34. A Retro Diamond and Ruby Brooch,  
Circa 1940**

Round brilliant-, tapered baguette- and baguette-cut diamonds, total approximately 7.00 carats  
Calibre-cut rubies, total approximately 1.50 carats  
Platinum and 14 karat yellow gold, approximately 2.00 inches

**Estimate**  
\$10,000-15,000



Property of a New York Collector

**35. A Pair of Retro Diamond Earrings,  
Circa 1940**

Old European-, single- and tapered baguette-cut diamonds,  
total approximately 6.00 carats  
Platinum, approximately 1.50 x 1.00 inches

**Estimate**  
\$5,000-7,000

Property of a New York Collector

**36. A Diamond Bracelet, Circa 1950**

A rectangular-shaped step-cut diamond, total approximately 0.90 carat  
Round brilliant-, baguette-cut and marquise-shaped diamonds, total approximately 8.75 carats  
Platinum, approximately 7.00 inches

**Estimate**  
\$5,000-7,000





Property of a New York Collector

### 37. A Diamond and Ruby Ring, Circa 1935

A round brilliant-cut diamond, 3.23 carats  
Platinum, size 6½

*GIA Report: I Color, I1 Clarity*

#### Estimate

\$8,000-12,000



Property of a Lady

### 38. An Art Deco Diamond and Ruby Brooch, Charlton & Co., Circa 1925

Full-cut diamonds, total approximately 2.00 carats  
Calibre-cut rubies, total approximately 4.00 carats  
Signed, with French assay mark  
Platinum and 14 karat white gold, approximately 2.25 x 1.75 inches

#### Estimate

\$1,500-2,500











Property of an International Gentleman

**39. A Sapphire, Diamond and Ruby Ring**

An oval-shaped sapphire cabochon, approximately 22.90 carats  
Round brilliant-cut diamonds, total approximately 3.50 carats  
Round rubies, total approximately 4.80 carats  
Platinum, size 5

**Estimate**

\$15,000-25,000



**40. A Natural and Cultured Pearl and Diamond Necklace, Cartier**

Six strands of variously shaped natural and cultured pearls, measuring approximately 2.00mm to 4.34 x 4.03mm

Pear-shaped and round brilliant-cut diamonds, total approximately 4.40 carats

Signed, platinum and 18 karat white gold, approximately 17.75 inches

*GIA Report: Identification: 316 natural pearls and 1 bead cultured pearl; Environment: 315 saltwater pearls, 2 freshwater pearls; Only two strands have been tested*

**Estimate**

\$12,000-18,000





Property Formerly Owned by Edith Weber

**41. A Diamond Double Clip Brooch, Lambert Brothers, Circa 1935**

Full-, baguette-, and square-shaped step-cut diamonds,  
total approximately 7.00 carats  
Signed, with French assay marks  
Platinum, approximately 2.50 x 1.25 inches

**Estimate**  
\$6,000-8,000



Property of a Lady

#### 42. A Star Sapphire and Diamond Double Clip Brooch

Two oval-shaped star sapphire cabochons, total approximately 7.50 carats  
Marquise-shaped, single-, old European- and tapered baguette-cut diamonds, total approximately 16.00 carats  
Platinum, approximately 3.50 x 1.50 inches

**Estimate**  
\$5,500-8,000



Property of an International Gentleman

#### 43. A Ceylon Sapphire and Diamond Ring

An emerald-cut sapphire, 8.67 carats  
Round brilliant- and baguette-cut diamonds, total approximately 0.70 carat  
Platinum, size 4½

*GIA Report: Ceylon, no gemological evidence of heat*

**Estimate**  
\$9,000-12,000

Property of a Lady

#### 44. A Diamond Ring, Circa 1940

An old European-cut diamond, 6.58 carats  
With Italian assay mark  
Platinum, size 6¾

*GIA Report: L Color, VS1 Clarity*

**Estimate**  
\$30,000-50,000





Property from an East Coast Collection

**45. A Platinum 'Lilliputian' Watch, Cartier, Circa 1925**

Mechanical movement, European Watch & Clock Co.  
Dial signed, approximately 5.75 inches

**Estimate**

\$5,000-7,000



Property of a Miami Collector

**46. A Pair of Diamond and Pearl Simulant Earrings**

Two triangular-shaped diamonds, total approximately 1.30 carats  
Two round brilliant-cut diamonds, total approximately 0.80 carat  
Single- and baguette-cut diamonds, total approximately 6.25 carats  
Platinum, approximately 2.50 inches, with detachable drops

**Estimate**

\$5,000-7,000



## Ellen Johnson Sirleaf Presidential Center for Women and Development

Proceeds from the sale of the following lots (47-51) will go directly to the *Ellen Johnson Sirleaf Presidential Center for Women and Development*.

The mission of the Center is to promote women's participation and leadership in society, engage in global conversations to address challenges faced by women and girls, and to identify and implement solutions to enhance female empowerment.

Ellen Johnson Sirleaf is the former President of Liberia and winner of the 2011 Nobel Peace Prize, together with Leymah Gbowee and Tawakkol Karman, "for their non-violent struggle for the safety of women and for women's rights to full participation in peace-building work." She is a crusader for the Liberian people and has dedicated her life working to make positive change across many borders.

Sirleaf's vision for the Center is conveyed in her acceptance speech for the 2017 Ibrahim Prize for Achievement in African Leadership: "By choice, I have led a life of service and sacrifice on behalf of the Liberian people, and I will remain forever grateful to them for the privilege to serve. As the first woman to receive the award, it is my hope that women and girls across Africa will be inspired to reach for their true potential, to navigate the challenges, break through barriers, and to pursue their dreams. Where there is a first, there comes a second, and a third, and a fourth.

The funds from lots 47-51 will be devoted to the Center's focus on girls' empowerment.





**47. A Diamond Necklace**

Round brilliant-cut diamonds, total approximately  
28.00 carats  
18 karat white gold, approximately 16.00 inches

**Estimate**  
\$7,000-9,000



#### **48. A Pair of Diamond Earrings**

Round brilliant-cut diamonds, total approximately  
4.50 carats  
18 karat white gold, approximately 3.10 inches

**Estimate**

\$3,000-5,000





#### **49. A Diamond Necklace and Pair of Earrings**

##### **NECKLACE**

Round brilliant-cut diamonds, total approximately 7.00 carats  
18 karat white gold, approximately 17.25 inches

##### **EARRINGS**

Round brilliant-cut diamonds, total approximately 1.00 carat  
18 karat white gold, approximately 0.80 inch

##### **Estimate**

\$5,000-7,000



## 50. A Diamond Bracelet and Ring

### BRACELET

Round brilliant-cut diamonds, total approximately 3.30 carats  
18 karat white gold, approximately 7.75 inches

### RING

Round brilliant-cut diamonds, total approximately 1.40 carats  
18 karat white gold, size 8¼

### Estimate

\$2,000-3,000





**51. A Stainless Steel and Diamond Watch,  
Ulysse Nardin**

Round brilliant-cut diamonds, total approximately 1.30 carats  
Case no. 1542, automatic movement, dual-time with  
subsidiary seconds  
Case diameter approximately 38.00mm, with signed box

**Estimate**  
\$3,000-5,000



**52. A Gold and Diamond Watch, Piaget, 1973**

Single-cut diamonds, total approximately 1.50 carats  
Case no. 413173, mechanical movement, signed  
18 karat white gold, approximately 8.00 x 0.60 inches

**Estimate**

\$8,000-12,000

Property of a Private Collector

**53. A 'Royal Oak Offshore' Watch, Audemars Piguet, Circa 2002**

Dial signed, case no. 3334 and E96657, automatic movement  
Stainless steel and rubber strap, case diameter 44.00mm

**Estimate**

\$8,000-12,000







Property of a Private Collector

**54. A 'Cosmograph Daytona' Watch, Rolex, Circa 2009**

Dial signed, case no. V828395, automatic movement  
Stainless steel, case diameter 40.00mm

**Estimate**

\$8,000-12,000

Property of a Lady

**Σ 55. A Gold and Diamond 'Worldtime' Watch, Chatila, Circa 2010**

Round brilliant-cut diamonds, total approximately 5.00 carats  
Dial signed, reference no. BA81, case no. 96, automatic movement, with Swiss assay marks  
18 karat white gold and silk strap, 38.00mm case diameter, with additional strap

**Estimate**

\$5,000-8,000





Property of a Lady

**56. A Gold and Diamond Evening Bag**

Round brilliant-cut diamonds, total approximately 3.50 carats  
18 karat yellow and white gold, approximately 7.50 x 4.50 x 2.00 inches

**Estimate**

\$8,000-12,000



Property Formerly Of An American Heiress

**57. A Diamond and Sapphire Necklace,  
Circa 1965**

Baguette-, round brilliant-cut, and marquise-shaped  
diamonds, total approximately 18.00 carats  
Oval- and cushion-shaped sapphires, total approximately  
11.00 carats  
Platinum and 18 karat yellow gold, approximately  
15.00 inches

**Estimate**  
\$15,000-25,000





Property of a Burlington Collector

**58. A Rare Gold and Rhodochrosite Bracelet Watch, Omega, 1971**

Case no. 68'378, mechanical movement  
Dial signed, with English assay marks  
18 karat yellow gold, approximately 7.00 x 2.25 inches

**Estimate**  
\$6,000-8,000

**59. A Tourmaline, Diamond and Gold Ring, Cartier**

A rectangular-shaped step-cut tourmaline, approximately 4.85 carats  
Round brilliant-cut diamonds, total approximately 1.00 carat  
Signed, numbered  
18 karat yellow and white gold, size 5

**Estimate**  
\$6,000-8,000



Property Formerly Owned by Edith Weber

**60. A Gold Necklace, Lacloche Frères**

Signed, with French assay marks  
18 karat yellow gold, approximately 20.25 inches

**Estimate**  
\$5,000-7,000



Property of a Miami Collector

**61. A Pair of Gold Earrings and Pendant,  
Georges L'Enfant, Circa 1969**

**EARRINGS**

Signed, with French assay marks  
18 karat yellow gold, approximately 2.50 inches

**PENDANT**

Signed, with French assay marks  
18 karat yellow gold, approximately 2.75 inches

**Estimate**

\$5,000-7,000



Property of a Miami Collector

**62. A Gold Watch, Georges L'Enfant for  
Rolex, Circa 1969**

Mechanical movement, signed, with French assay mark  
18 karat yellow gold, case approximately 38.00 x 19.00mm,  
length approximately 7.25 inches

**Estimate**

\$15,000-25,000



A universal status symbol since ancient times, gold is tactile, pliable, valuable, and simply beautiful. It is no surprise that it is the most coveted material in human adornment and arguably the most desirable mineral in the world. Jewelry made entirely of gold saw a remarkable resurgence during post World War II austerity, coinciding with new political movements and the rise of strong, independent women.

During the 1960s and 1970s the Paris based workshop of George L'Enfant, later led by his son Jacques, created gold jewelry in intricate textures and patterns such as the ones featured here from the Optical Collection. In the book "La Livre de la Chaîne" Jacques describes how the "geometric and kinetic abstractions of the 1950s" inspired an "infinite" number of ideas and designs for his gold creations. Lots 61 and 62 are excellent examples of these design concepts featuring L'Enfants distinctly recognizable "tissu milanais" technique. This unique goldsmith technique contrasts rows of alternate textured matte against a polished finish in a pressed wavelike pattern. Varying circular and twist motifs, finely woven textures, and combinations of different colors of gold are all tell-tale combinations of signature L'Enfant creations.

Both father and son were skilled designers, manufacturers, and master goldsmiths, sought after by the finest ateliers of their day. They were work-masters for Cartier, Van Cleef & Arpels, Bulgari, and Boivin to name a few. George registered his maker's mark in 1909, but it wasn't until the 1960s and 1970s that the L'Enfant workshop produced the identifiable, intricate, trademark textures and patterns, seen in the featured examples, that they are best known for today.





Property from an East Coast Collection

**63. A Tourmaline and Diamond Necklace**

A pear-shaped tourmaline, approximately 9.50 carats  
Round brilliant-cut diamonds, total approximately  
15.00 carats  
18 karat yellow gold, approximately 16.00 inches

**Estimate**

\$6,000-9,000



**64. A Gold, Tigers Eye and Diamond Bracelet**

Round brilliant-cut diamonds, total approximately 1.20 carats  
18 karat yellow gold, approximate diameter of 2.25 inches

**Estimate**  
\$15,000-25,000



**65. A Tourmaline, Sapphire and Gold Brooch, Buccellati**

Signed, with Italian assay marks  
18 karat yellow and white gold, approximately 3.00 x 2.50 inches

**Estimate**  
\$6,000-9,000

Property from an East Coast Collection

**66. A Gold 'Thistle' Brooch, Buccellati**

Signed, 18 karat yellow and white gold, approximately 3.50 inches

**Estimate**  
\$5,000-7,000





Property of a Lady

**67. A Gold and Mother-of-Pearl 'Vintage Alhambra' Necklace, Van Cleef & Arpels**

Signed, numbered, with French assay marks  
18 karat yellow gold, approximately 16.80 inches, with  
signed box

**Estimate**

\$4,000-6,000

**68. A Gold, Mother-of-Pearl and Onyx Bangle Bracelet, Angela Cummings for Tiffany & Co., 2000**

Signed, 18 karat yellow gold, interior is approximately  
2.25 inches

**Estimate**

\$8,000-10,000



Δ 69. **A Pair of Gold and Onyx ‘Vintage Alhambra’ Earrings, Van Cleef & Arpels**

Signed, numbered, with French assay marks  
18 karat yellow gold, approximately 1.00 inch

**Estimate**  
\$5,500-7,500

70. **A Gold Bracelet, Van Cleef & Arpels, 1999**

Signed, numbered, with French assay marks  
18 karat yellow gold, approximately 7.25 inches

**Estimate**  
\$6,000-8,000



### 71. A Colombian Emerald and Diamond Brooch

A square emerald cut emerald, 2.13 carats  
 Round brilliant-cut diamonds, total approximately  
 4.00 carats  
 18 karat yellow and white gold, approximately 1.80 inches

*AGL Report: Colombian, traditional minor clarity enhancements*

#### **Estimate**

\$11,000-15,000

### 72. A Gold Coin Necklace

A 1915 Austrian gold four ducat coin  
 18 karat yellow gold, approximately 43.00 inches

#### **Estimate**

\$4,000-6,000







Property of a Private Collector

**73. A Gold Coin Necklace, Bulgari**

Seven bezel set ancient coins  
Signed, 18 karat yellow gold, approximately 15.75 inches

**Estimate**  
\$5,000-8,000



Δ 74. **A Gentleman's Gold Dress-Set, Buccellati**

**CUFFLINKS**

Signed, with Italian assay mark

18 karat yellow and white gold, approximately 0.60 inch

**STUDS**

Signed, with Italian assay mark

18 karat yellow gold, approximately 0.50 inch

**Estimate**

\$5,000-7,000



Σ 75. **A Coral, Diamond and Gold Bracelet, David Webb**

Eight carved coral cabochons, measuring approximately 23.00 x 16.95 x 13.45mm

Round brilliant-cut diamonds, total approximately 2.25 carats

Signed, numbered

18 karat yellow gold and platinum, approximately 6.50 inches

**Estimate**

\$30,000-50,000



**76. A Ceramic and Gold 'Chandra' Necklace and Earrings, Bulgari**

Round ceramic beads, measuring approximately 21.90mm  
Signed, with Italian assay marks  
18 karat yellow gold, approximately 17.75 inches and 1.50 inches, respectively

**Estimate**  
\$10,000-15,000





Property from a New York Family

**77. An Important Burmese Ruby and Diamond  
Necklace, Bulgari, Circa 2010**

Oval-shaped rubies, total approximately 39.35 carats  
Round brilliant-cut diamonds, total approximately 6.00 carats  
Unsigned, platinum and 18 karat yellow gold, approximately  
15.60 inches

*AGL Report: Burmese, no indications of heat on forty-nine, one  
ruby heated, no clarity enhancement*

**Estimate**

\$120,000-180,000







**78. A Sapphire and Diamond Ring,  
Mounted by Van Cleef & Arpels**

An oval brilliant cut step cut sapphire, 5.69 carats  
Four pear-shaped diamonds, total approximately 1.00 carat  
Signed, numbered  
Platinum, size 4 $\frac{3}{4}$

*GIA Report: Heated*

**Estimate**  
\$12,000-18,000

Property from an East Coast Collection

**79. A Gold, Diamond, Sapphire and Ruby  
'Baillonne Joaillerie Tutti Frutti' Watch,  
Cartier, 1990**

Round brilliant-cut diamonds, total approximately 1.10 carats  
Signed, case number C31057, quartz movement, with Swiss assay marks  
18 karat yellow gold, case diameter approximately 25.50 x 35.50mm

**Estimate**  
\$6,000-8,000





Property of a New York Lady

**80. A Gold and Diamond Bangle Bracelet, Cartier**

18 round brilliant-cut diamonds, total approximately 0.90 carat  
Unsigned, 18 karat yellow gold, interior approximately 2.50 inches

**Estimate**  
\$5,000-7,000



**81. A Pair of Ruby and Diamond Cufflinks**

Round brilliant-cut diamonds, total approximately 4.35 carats  
Round ruby cabochons, total approximately 5.00 carats  
18 karat yellow gold, approximately 0.55 inch

**Estimate**  
\$5,000-7,000



**82. A Fine Burmese Spinel and Diamond Ring,  
David Gross**

A cushion-shaped modified brilliant-cut spinel, 18.37 carats  
Two trapezoid-shaped diamonds, total 1.35 carats  
Platinum and 18 karat yellow gold, size 7

*C. Dunaigre Switzerland Report: Burma, no indications of heating*

**Estimate**  
\$50,000-70,000







**83. An Enamel, Sapphire, and Diamond Dress Set, Margherita Burgener**

Calibre-cut sapphires, total 2.73 carats  
 Round brilliant-cut diamonds, total 0.06 carat  
 Signed, with Italian assay marks  
 18 karat yellow gold, approximately 0.65 x 0.65 inch and  
 0.50 x 0.50 inch, with signed box

**Estimate**  
 \$6,000-8,000



**84. A Rock Crystal, Sapphire and Diamond Ring, Seaman Schepps**

An oval-shaped sapphire cabochon, measuring  
 approximately 18.00 x 14.00mm  
 Round brilliant-cut diamonds, total approximately 0.10 carat  
 Signed, 18 karat yellow gold, size 5

**Estimate**  
 \$4,000-6,000



### 85. A Fancy Colored Sapphire Cuff Bracelet

13 fancy colored sapphires, total 44.63 carats  
 Swiss assay marks  
 18 karat rose gold, approximate diameter 2.50 inches

*GIA Report: (a) one transparent yellow, heart mixed cut; (b) one transparent violetish blue changing to purple, cushion mixed cut; (c) one transparent light blue, round mixed cut; three natural sapphires; Comments: A, B, and C: No indications of heating. B. This stone exhibits a change of color when viewed under daylight or fluorescent vs. incandescent light*

**Estimate**  
 \$15,000-25,000



### 86. A Spinel and Diamond Ring

A cushion-shaped spinel, approximately 1.50 carats  
 Old mine-cut diamonds, total approximately 2.00 carats  
 14 karat yellow and rose gold, size 7

**Estimate**  
 \$5,000-7,000



**87. A Pair of Onyx, Diamond and Gold Earrings, David Webb**

Round brilliant-cut diamonds, total approximately 2.30 carats  
Signed, 18 karat yellow and white gold, approximately 1.00 inch

**Estimate**  
\$10,000-15,000



**88. A Cultured Pearl, Diamond, Onyx and Gold Ring, David Webb**

A round Tahitian cultured pearl, approximately 11.91mm  
Round brilliant- and baguette-cut diamonds, total approximately 1.25 carats  
Signed, 18 karat yellow gold and platinum, size 5½

**Estimate**  
\$6,000-8,000





Property of a New York Collector

**89. A Citrine, Diamond, Onyx and Gold Necklace**

Round brilliant-cut diamonds, total approximately 6.60 carats  
18 karat yellow gold, approximately 15.50 inches

**Estimate**  
\$6,000-8,000



Property of a New York Lady

**90. A Gold and Diamond Necklace,  
Bulgari, Circa 1985**

Round brilliant-cut diamonds, total approximately  
8.35 carats  
Signed, with Italian assay mark  
18 karat yellow gold, approximately 16.00 inches,  
with signed box

**Estimate**  
\$15,000-25,000

Property of a New York Lady

**91. A Pair of Gold and Diamond ‘Doppio  
Cuore’ Earrings, Bulgari**

Round brilliant-cut diamonds, total approximately  
3.00 carats  
Signed, with Italian assay marks  
18 karat yellow gold, approximately 1.25 x 0.80 inches,  
with signed box

**Estimate**  
\$5,000-7,000



Property from an East Coast Collection

**92. A Gold and Diamond ‘Lynn’ Bracelet,  
Schlumberger for Tiffany & Co.**

Round brilliant-cut diamonds, total 2.68 carats  
Signed, 18 karat yellow gold and platinum, approximately  
7.25 inches, with signed box

**Estimate**  
\$10,000-15,000

Property of a New York Lady

**93. A Gold and Diamond ‘Doppio Cuore’  
Ring, Bulgari**

Round brilliant-cut diamonds, total approximately 1.20  
carats  
Signed, with Italian assay marks  
18 karat yellow gold, size 6¾, with signed box

**Estimate**  
\$3,000-5,000





Property from an East Coast Collection

**94. A Gold and Diamond 'X' Necklace,  
Tiffany & Co.**

Round brilliant-cut diamonds, total approximately  
6.00 carats  
Signed, 18 karat yellow gold, approximately 15.25 inches

**Estimate**  
\$12,000-18,000

Property from an East Coast Collection

**95. A Gold and Diamond 'X' Bracelet,  
Tiffany & Co.**

Round brilliant-cut diamonds, total approximately  
2.60 carats  
Signed, 18 karat yellow gold, approximately 6.50 inches

**Estimate**  
\$6,000-8,000



**96. A Pair of Cultured Pearl, Coral and Chrysoprase Earrings, Tiffany & Co.**

Two round mabé cultured pearls, measuring approximately 10.00mm  
 Round coral beads, measuring approximately 3.74mm to 5.15mm  
 Round chrysoprase beads, measuring approximately 4.06mm to 6.03mm  
 Signed, 18 karat yellow gold, approximately 1.25 inches, with signed box

**Estimate**  
 \$8,000-10,000

**97. A Gold and Citrine Bracelet, Tiffany & Co.**

Pear-shaped citrine, total approximately 156.00 carats  
 Signed, 18 karat yellow gold, approximately 7.00 inches, with signed box

**Estimate**  
 \$10,000-15,000



Property of a New York Lady

**98. A Gold and Coin Bracelet, Bulgari**

Signed, numbered, with Italian assay marks  
18 karat yellow gold, approximate diameter 2.25 inches

**Estimate**  
\$5,000-7,000



Property from a New York Family

**99. An Emerald and Diamond Ring, Bulgari**

A sugarloaf emerald, 5.88 carats  
Round brilliant-cut diamonds, total approximately 0.80 carat  
Signed, numbered  
18 karat yellow gold, size 7

**Estimate**  
\$10,000-15,000





Property of a New York Lady

**100. A Gold and Coin Necklace, Bulgari, 1985**

Signed, with Italian assay marks  
18 karat yellow gold, approximately 16.00 inches, with signed box

*Accompanied by a copy of an original drawing.*

**Estimate**  
\$6,000-9,000



Property of a New York Lady

**101. A Gold, Coin and Diamond Ring, Bulgari**

Signed, with Italian assay marks  
18 karat yellow gold, size 5¾

**Estimate**  
\$2,000-3,000



Property of a Californian Lady

**102. An Ebony, Ruby and Diamond  
Bangle Bracelet, Lotus Arts de Vivre**

Round ruby cabochons, approximately 26.50 carats  
Round brilliant-cut diamonds, total approximately 1.00 carat  
Signed, 18 karat yellow gold, approximately 7.50 inches

**Estimate**  
\$5,000-7,000



**103. A Pair of Gold and Diamond Earrings,  
David Webb**

Round brilliant-cut diamonds, total approximately 2.00 carats  
Signed, numbered  
18 karat yellow gold and platinum, approximately 1.25 inches

**Estimate**  
\$10,000-15,000



**104. A Gold, Platinum and Diamond Necklace,  
Sterlé, Paris, Circa 1955**

Full- and single-cut diamonds, total approximately 0.50 carat  
Signed, numbered, with French assay marks  
18 karat yellow gold and platinum, approximately 17.75 inches

**Estimate**  
\$20,000-30,000





**105. A Pair of Gold, Ruby, Sapphire, Emerald and Diamond Earrings, David Webb**

Carved, cabochon and round rubies, sapphires and emeralds  
Single-cut diamonds, total approximately 0.30 carat  
Signed, 18 karat yellow and white gold, approximately 1.20 inches, with signed box

**Estimate**  
\$10,000-15,000



**106. A Pair of Nephrite, Ruby and Amethyst Earrings, Verdura**

Signed, 18 karat yellow gold, approximately 1.40 inches, with signed box

**Estimate**  
\$8,000-10,000



Property of a Vermont Collector

**107. A Gold Watch Bracelet, David Webb**

Dial signed, 18 karat and 14 karat yellow gold, approximately 7.00 inches

**Estimate**  
\$4,000-6,000



Property from a Philadelphia Family

**108. A Cat's-Eye Chrysoberyl and Diamond Ring**

A cat's-eye chrysoberyl cabochon, approximately 16.00 carats  
Full-cut diamonds, total approximately 0.50 carat  
14 karat yellow gold, size 4

**Estimate**  
\$10,000-15,000











Property of a Lady

**109. A Pair of Cultured Pearl and  
Diamond Earrings**

South Sea cultured pearls, approximately 12.55mm to 15.40mm  
Round brilliant-cut diamonds, total approximately 9.40 carats  
18 karat yellow gold, approximately 2.00 inches, with  
detachable drops

**Estimate**

\$8,000-12,000





Property of a Lady

**no. An Important Diamond and South  
Sea Cultured Pearl Necklace,  
Van Cleef & Arpels, 1966**

South Sea cultured pearls, measuring approximately  
10.70mm to 19.10mm

Round brilliant-cut diamonds, total approximately  
65.00 carats

Signed, numbered

18 karat yellow gold, approximately 19.00 inches,  
detachable pendant

**Estimate**

\$120,000-150,000





Property of a New York Lady

**III. A Diamond Brooch, Van Cleef & Arpels, 1988**

Round brilliant-cut diamonds, total approximately 3.50 carats  
Signed, 18 karat yellow gold, approximately 1.50 inches, with  
signed pouch

**Estimate**  
\$5,000-7,000



**II2. An Aquamarine and Diamond Ring, Schlumberger for Tiffany & Co.**

An oval-shaped aquamarine, measuring approximately  
25.77 x 19.55 x 11.90mm  
Round brilliant-cut diamonds, total approximately 2.50 carats  
Signed, 18 karat yellow gold and platinum, size 6

**Estimate**  
\$8,000-12,000



Property of a New York Lady

**113. An Aquamarine, Cultured Pearl and Gold Bead Necklace, Verdura**

20 round aquamarine beads, measuring approximately 18.00mm  
Baroque-shaped and round mabé cultured pearls  
Signed, 18 karat yellow gold, approximately 16.75 inches

**Estimate**  
\$4,000-6,000



Property of a Lady

**114. An Aquamarine and Diamond Bracelet, Tiffany & Co.**

Six rectangular-shaped step-cut aquamarine, total approximately 55.00 carats  
Round brilliant- and single-cut diamonds, total approximately 3.00 carats  
Signed, 18 and 14 karat white gold, approximately 6.75 inches

**Estimate**  
\$6,000-8,000



Property of a Vermont Collector

**115. A Diamond Bypass Ring**

Two old European-cut diamonds, 1.94 carats and 1.88 carats  
Baguette-, full- and single-cut diamonds, total approximately 0.80 carat  
Platinum, size 7 $\frac{3}{4}$

**Estimate**  
\$7,000-9,000





Δ 116. **A Pair of Aquamarine and Diamond Earrings, Breguet**

Two rectangular-shaped step-cut aquamarines, total approximately 2.10 carats  
 Round brilliant-cut diamonds, total approximately 1.44 carats  
 Signed, numbered, with Swiss assay mark  
 18 karat white gold, approximately 2.00 inches, signed box

**Estimate**  
 \$7,500-9,500



Property of a Lady

117. **A Diamond Brooch/Pendant**

Old European- and full-cut diamonds, total approximately 4.50 carats

**Estimate**  
 \$1,500-2,500



**118. A Sapphire, Diamond and Fancy Diamond Brooch**

Round sapphires, total approximately 10.00 carats  
Round brilliant-cut diamonds, total approximately 4.50  
18 karat blackened white gold, approximately 3.00 x 2.50 inches

**Estimate**  
\$5,000-7,000

**119. A Fancy Ceylon Sapphire and Diamond Ring**

A square cushion mixed-cut yellow sapphire, 8.12 carats  
Baguette-shaped diamonds, total approximately 1.95 carats  
14 karat white and yellow gold, size 6½

*AGL Report: Ceylon, no indications of heat or clarity enhancements*

**Estimate**  
\$7,000-9,000



**120. A Burmese Sapphire and Diamond Ring**

A round mixed-cut sapphire, approximately 3.00 carats  
Round brilliant-cut diamonds, total approximately 1.35 carats  
18 karat white gold, size 7

*AGL Report: Burma, no gemological evidence of heat*

**Estimate**  
\$10,000-15,000



**121. A Platinum Necklace, Attributed to Christian Tse**

Unsigned, platinum, approximately 17.50 inches

**Estimate**

\$4,000-6,000





Δ 122. **A Gold and Diamond ‘Love’ Bracelet, Cartier, 1997**

10 round brilliant-cut diamonds, total 0.96 carat  
Signed, numbered  
18 karat white gold, size 16

**Estimate**

\$8,000-12,000

Δ 123. **A Gold and Diamond ‘Himalia’ Bracelet, Cartier**

Round brilliant-cut diamonds, total approximately 1.30 carats  
Signed, numbered  
18 karat white gold, approximately 7.50 inches, signed box

**Estimate**

\$6,000-8,000



**124. A Pair of Diamond and Cultured Pearl Earrings, Tiffany & Co.**

10 oval-shaped cultured pearls, measuring approximately 7.00 x 5.25mm  
 Round brilliant-cut diamonds, total approximately 0.95 carat  
 Signed, platinum, approximately 2.20 x 1.00 inches

**Estimate**  
 \$5,000-7,000



**125. A Diamond and Onyx 'Love' Pendant Necklace, Cartier, Circa 2000**

Round brilliant-cut diamonds, total approximately 1.60 carats  
 Signed, numbered  
 18 karat white gold, approximately 16.50 inches

**Estimate**  
 \$4,000-6,000



Property of a New York Collector

**126. A Turquoise and Diamond Necklace**

35 round turquoise-beads, ranging from 14.60mm to 21.90mm  
Full- and single-cut diamonds, total approximately 4.00 carats  
18 karat white gold, approximately 25.00 inches

*GIA Report: Turquoise, no indication of treatment was detected, only the three largest beads were tested*

**Estimate**

\$12,000-18,000





**127. A Gold and Diamond 'Parentesi' Necklace, Bulgari**

Round brilliant-cut diamonds, total approximately 1.60 carats  
Signed, with Italian assay marks  
18 karat white gold, approximately 15.00 inches

**Estimate**  
\$6,000-8,000



Property from an East Coast Collection

**128. A Pair of Sapphire Cufflinks, Graff, 2004**

Round sapphires, total approximately 1.25 carats  
Signed, with signed box  
18 karat white gold, approximately 0.50 inch

**Estimate**  
\$4,000-6,000



**129. A Cultured Pearl and Diamond Necklace**

Cultured pink fresh water pearls, measuring approximately  
9.10mm to 11.50mm

Cultured white South Sea pearls, measuring approximately  
11.00mm to 12.00mm

Round brilliant-cut diamonds, total approximately 1.80 carats  
18 karat rose gold, approximately 34.00 inches

**Estimate**

\$10,000-15,000



**130. A Pair of Opal and Diamond Earrings, Arteau**

Two cabochon opals, total 4.32 carats  
Round opals, total 3.25 carats  
Round brilliant-cut diamonds, total 0.95 carat  
Signed, numbered  
Titanium and yellow gold, approximately 1.25 x 1.00 inches

**Estimate**  
\$15,000-25,000

Stephane Marteau spent countless hours as a boy in his father Henry's workshop learning all aspects of the jewelry business. He honed his technical skills, accrued knowledge of stones and their acquisition, and began engaging with clients. It was this robust and old-world education with hands on learning, practice and exposure that enabled Stephane to start his career.

After business school, Stephane returned to work at the Georland atelier in Paris. This workshop, founded in 1954, quickly developed an international reputation for producing the highest quality jewels. As the business flourished, they opened a second workshop in New York. Together, these ateliers

were responsible for designing and manufacturing jewelry for many prestigious jewelry maisons such as Boucheron, Cartier, Chanel, Chaumet, Dior, Graff, Mauboussin, Mikimoto, Louis Vuitton, Piaget, Tiffany, Van Cleef & Arpels and Harry Winston.

In the 1990s Stephane and his brother Jean-Gabriel modernized the ateliers, investing in new technology and manufacturing tools. Today a third generation of jewelers have joined the family business.

In 2011, Stephane stepped away from the family business and founded Arteau, offering his own haute jewelry to clients. Unlike most conventional designers, he selects his stones directly from the mine. Once sourced and polished, Stephane spends time examining his gems which further inspire his design.

The pair of opal and diamond earrings (Lot # 130) are a perfect example of Stephane's creative process in that the opals' aqueous nature inspired his oceanic design. These earrings are of superlative quality and craftsmanship, finished on the reverse with the same attention to detail as on the front. For Stephane and his team of craftsman, jewelry is an art and each piece is singular.





**131. A Fancy Sapphire and Diamond Bypass Ring**

An oval mixed-cut yellow sapphire, 6.26 carats  
An oval mixed-cut pink sapphire, 5.64 carats  
Baguette-cut diamonds, total approximately 1.90 carats  
Platinum, size 6

*AGL Report: No indications of heating and low temperature heating, respectively*

**Estimate**

\$15,000-25,000

Property from a Seattle Lady

**132. A Diamond Ring**

A round brilliant diamond, 2.06 carats  
Two round brilliant diamonds, total approximately 1.30 carats  
Platinum, approximately size 4½ with sizing beads

*GIA Report: G Color, VS1 Clarity*

**Estimate**

\$15,000-25,000



**133. A Pair of Fancy Ceylon Sapphire, Emerald and Diamond Earrings**

Two cushion mixed-cut yellow sapphires, total 13.26 carats  
A cushion mixed-cut pink sapphire, total approximately 2.90 carats  
A cushion mixed-cut blue sapphire, total approximately 3.20 carats  
Two rectangular-shaped step-cut emeralds, total approximately 1.35 carats  
Round brilliant-cut and pear-shaped diamonds, total approximately 1.35 carats  
18 karat yellow gold and platinum, approximately 1.35 inches

*AGL Report: A and B -D, Ceylon, no indications of heating*

**Estimate**

\$20,000-30,000



**134. A Pair of Sapphire and Diamond Earrings, Margherita Burgener**

Round pink sapphires, total 10.53 carats  
 Single-cut diamonds, total 0.24 carat  
 Signed, with Italian assay marks  
 Titanium and 18 karat rose gold, approximately 1.20 x 1.00 inches, with signed box

**Estimate**  
 \$6,000-8,000

**135. A Sapphire and Diamond 'Feu D'Artifice' Ring, Christian Dior**

Round sapphires, total 7.50 carats  
 Round brilliant-cut diamonds, total 0.62 carat  
 Signed, numbered, with French assay marks  
 18 karat white gold, size 6, with signed box

**Estimate**  
 \$12,000-18,000



Property from a Philadelphia Family

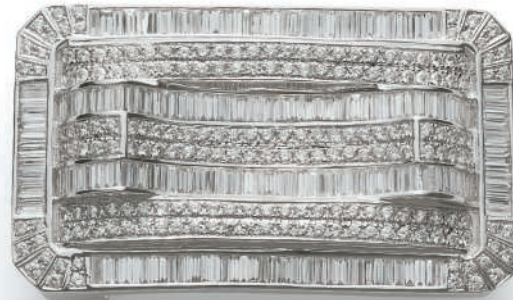
**136. A Fancy Ceylon Sapphire and Diamond Ring**

An oval-shaped sapphire, approximately 15.00 carats  
Round brilliant-cut diamonds, total approximately 0.40 carat  
Platinum, size 4¼

*AGL Report: Ceylon, no indications of heating*

**Estimate**

\$10,000-15,000



Property of a Lady

**137. A Diamond Belt Buckle**

Round brilliant- and baguette-cut diamonds, total  
approximately 16.00 carats  
18 karat white gold, approximately 2.50 x 1.50 inches

**Estimate**

\$5,000-7,000





Δ Σ **138. A Gold, Diamond and Alligator Bracelet, Hermès**

Round brilliant-cut diamonds, total approximately 2.50 carats  
Signed, numbered  
18 karat white gold, approximately 9.50 inches

**Estimate**  
\$14,000-18,000



Property from an East Coast Collection

**139. A Diamond Necklace, Oscar Heyman & Brothers, 1953**

Baguette-cut diamonds, total approximately 20.00 carats  
Unsigned, platinum, approximately 14.20 inches

*Accompanied by a letter of authenticity by  
Oscar Heyman & Brothers.*

**Estimate**

\$25,000-45,000



**140. A Ruby and Diamond Ring**

A cushion mixed-cut ruby, 2.76 carats  
 Pear- and marquise-shaped diamonds, total approximately  
 5.50 carats  
 Platinum, size 5½

*GRS Report: Siam (Thailand) ruby, no indication of heat  
 treatment*

**Estimate**  
 \$14,000-18,000



**141. A Black Jade, Diamond and Ruby Brooch,  
 Carvin French**

A heart-shaped ruby, approximately 0.45 carat  
 Round brilliant-cut diamonds, total approximately 0.60 carat  
 Round black diamonds, total approximately 1.95 carats  
 Signed, platinum, approximately 1.75 x 1.20 inches

**Estimate**  
 \$6,000-9,000





## 142. A Diamond Brooch

A round brilliant-cut diamond, total approximately 0.65 carat  
 A marquise-shaped diamond, total approximately 0.75 carat  
 Round brilliant-cut diamonds, total approximately 13.75 carats  
 18 karat blackened white gold, approximately 4.00 x 1.50 inches

### Estimate

\$12,000-18,000



## 143. A Ruby and Diamond Ring

A square cushion mixed-cut ruby, 5.38 carats  
 Pear-shaped and round brilliant-cut diamonds, total approximately 3.25 carats  
 Platinum, size 5½

*AGL Report: Classic Thailand, heated*

### Estimate

\$25,000-35,000



**144. A Diamond and Cultured Tahitian Pearl Necklace, Tiffany & Co.**

Round brilliant-cut diamonds, total approximately 25.00 carats

Signed, platinum, approximately 16.00 inches, with signed box

**Estimate**

\$25,000-35,000



**145. A South Sea Cultured Pearl and  
Diamond Necklace**

Baroque-shaped cultured South Sea pearls, measuring  
approximately 12.00mm to 14.70mm

Round brilliant-cut diamonds, total 6.50 carats

18 karat white gold, approximately 17.50 inches

**Estimate**

\$10,000-15,000





#### 146. A Pair of Ruby and Diamond Earrings

A cushion brilliant-cut/step-cut ruby, 3.58 carats  
A cushion brilliant-cut/step-cut ruby, 3.50 carats  
Pear- and marquise-shaped diamonds, total approximately 5.70 carats  
Platinum, 18 karat yellow and white gold, approximately 0.90 inch

*GIA Report: Natural ruby, no indications of heating*  
*GIA Report: Natural ruby, no indications of heating*

**Estimate**  
\$10,000-15,000



#### 147. An Opal, Sapphire and Diamond Ring

An oval opal cabochon, 9.52 carats  
Round sapphires, total approximately 4.80 carats  
Baguette-cut diamonds, total approximately 1.80 carats  
Platinum, size 7

*AGL Report: Australia, natural black opal, no indications of heat or clarity enhancement*

**Estimate**  
\$15,000-25,000



#### 148. A Sapphire and Diamond Ring

An octagonal modified brilliant-cut sapphire, 2.84 carats  
Pear-shaped and round brilliant-cut diamonds, total approximately 1.40 carats  
Platinum, size 5¾

*GIA Report: Natural sapphire, no indications of heating*

**Estimate**  
\$6,000-8,000

Property from a West Coast Family

#### 149. A Fancy Diamond and Diamond Pendant

A square modified brilliant-cut fancy yellow diamond, total 1.35 carats  
Baguette-cut diamonds, total approximately 1.00 carat  
Platinum and 18 karat yellow gold, approximately 0.75 x 0.50 inches

*GIA Report: Fancy Yellow, VS1 Clarity*

**Estimate**  
\$4,000-6,000

Property from an East Coast Collection

#### 150. A Sapphire and Diamond Bracelet, Giovani

Oval-shaped sapphires, total approximately 6.30 carats  
Round brilliant-cut diamonds, total approximately 1.70 carats  
Signed, platinum, approximately 6.75 inches

**Estimate**  
\$3,000-5,000



Property from an East Coast Collection

**151. A Sapphire and Diamond Necklace,  
Giovani**

Oval-shaped sapphires, total approximately 20.00 carats  
Round brilliant-cut diamonds, total approximately 8.50 carats  
Signed, platinum, approximately 15.75 inches

**Estimate**  
\$9,000-12,000





**152. An Impressive Fancy Intense Diamond and Diamond Ring**

A pear modified brilliant fancy intense yellow diamond,  
14.14 carats

Two triangular-shaped diamonds, total approximately  
2.00 carats

18 karat yellow gold, size 6¼

*GIA Report: Natural, Fancy Intense Yellow, VS1 Clarity*

**Estimate**

\$350,000-550,000







Property of a New York Collector

**153. A Fancy Yellow Diamond and Diamond Necklace**

Square-shaped brilliant-cut fancy light yellow diamonds, total approximately 19.00 carats  
Round brilliant-cut diamonds, total approximately 4.00 carats  
18 karat white and yellow gold, approximately 13.85 inches

**Estimate**

\$8,000-10,000

Property of a New York Collector

**154. A Diamond Ring**

An emerald-cut diamond, 1.98 carats  
Two tapered baguette-cut diamonds, total approximately 0.40 carat  
Platinum, size 4¾

*GIA Report: D Color, VS2 Clarity*

**Estimate**

\$8,000-12,000



Property from a West Coast Family

**155. A Fancy Intense Diamond and Diamond Ring**

A cut-cornered rectangular modified brilliant fancy intense yellow diamond, 1.74 carats  
Calibre-cut diamonds, total approximately 0.80 carat  
Platinum, size 6

*GIA Report: Natural Fancy Intense Yellow, Even Color, VVS2 Clarity*

**Estimate**  
\$10,000-15,000



**156. A Pair of Diamond Earrings**

Round brilliant-cut diamonds, total approximately 10.00 carats  
18 karat white gold, approximately 2.50 inches

**Estimate**  
\$7,000-9,000





Property of a Lady

**157. A Diamond Pendant Necklace, Cartier**

A round brilliant-cut diamond, total approximately 1.85 carats  
Signed, platinum and 14 karat white gold, approximately  
14.50 inches

**Estimate**

\$5,000-8,000



**158. A Diamond and Emerald Ring, Oscar Heyman & Brother for Cartier**

A round brilliant-cut diamond, total approximately 0.80 carat  
Tapered baguette-cut diamonds, total approximately 2.00 carats  
Tapered baguette-cut emeralds, total approximately 2.00 carats  
Signed, numbered  
Platinum, size 5¼

**Estimate**

\$8,000-12,000





Property from an East Coast Collection

**159. A Pair of Fancy Diamond and Diamond Earrings**

Marquise-shaped fancy diamonds, total approximately 1.80 carats  
Marquise- and pear-shaped diamonds, total approximately 4.00 carats  
Platinum and 18 karat yellow gold, approximately 0.80 inch

*GIA Report: Four Stones - A, B, C, D: Diamond, Natural Color  
(one earring tested)*

**Estimate**  
\$5,000-7,000

**160. A Pair of Jade and Colored Diamond Earrings, Carvin French**

Two oval-shaped jade cabochons, total 5.17 carats  
Round brilliant-cut light yellow diamonds, total approximately 3.30 carats  
Signed, 18 karat yellow gold, approximately 0.60 inch

*Contact department for laboratory certifications.*

**Estimate**  
\$6,000-9,000



**161. A Diamond Pendant Necklace**

A heart brilliant diamond, 4.01 carats  
Platinum, approximately 17.75 inches

*GIA Report: I Color, SI1 Clarity*

**Estimate**  
\$35,000-50,000

Property from a West Coast Family

**162. A Fancy Intense Diamond and Diamond Ring**

A cut-cornered rectangular modified brilliant fancy intense yellow diamond, 1.57 carats  
Baguette-cut diamonds, total approximately 0.50 carat  
18 karat white gold, size 6

*GIA Report: Fancy Intense Yellow Color, VVS2 Clarity*

**Estimate**  
\$10,000-15,000



**163. A Colombian Emerald and  
Diamond Necklace, Circa 1960**

Round brilliant- and baguette-cut diamonds, total  
approximately 40.00 carats

Rectangular-shaped step-cut emeralds, total approximately  
17.00 carats

Platinum and 18 karat yellow gold, approximately 15.50 inches

*AGL Report: Colombian, traditional minor clarity enhancement*

**Estimate**

\$100,000-150,000





**164. A Pair of Emerald and Diamond Earrings, V.A.K Fine Jewels**

Pear-shaped carved emeralds, total 21.52 carats  
 Pear-shaped rose-cut diamonds, total 7.02 carats  
 Signed, 18 karat white gold, approximately 1.50 inches

**Estimate**  
 \$12,000-18,000



**Δ 165. A Diamond Ring, Cartier**

Round brilliant-cut diamonds, total 4.25 carats  
 Signed, numbered, with French assay marks  
 18 karat white gold, size 5¼

**Estimate**  
 \$12,000-14,000



Property of a Private Collector

**166. A Jadeite and Diamond Pendant Necklace**

An oval-shaped jadeite cabochon, measuring approximately 16.70 x 12.60 x 5.00mm  
Old European-cut diamonds, total approximately 3.50 carats  
Platinum and 18 karat white gold, approximately 17.80 inches

*Mason-Kay Report: Natural Jadeite Jade, No Dye or Polymer Detected - 'A' Jade*

**Estimate**  
\$6,000-8,000



Property of a New York Collector

**167. A Diamond, Emerald and Onyx 'Panthere De Cartier' Ring, Cartier**

Round brilliant-cut diamonds, total 2.39 carats  
Signed, numbered  
18 karat white gold, size 6

**Estimate**  
\$15,000-20,000



**168. A Pair of Gold and Diamond ‘Vertigo’ Earrings, Marina B**

Round brilliant-cut diamonds, total approximately 2.00 carats  
Signed, numbered, with Italian assay marks  
18 karat white gold, approximately 1.20 inches

**Estimate**

\$4,500-6,000



Property of a New York Collector

**169. A Sapphire and Diamond ‘Comète’ Bangle Bracelet, Chanel**

17 oval-shaped sapphires, total approximately 12.00 carats  
Round brilliant-cut diamonds, total approximately 4.00 carats  
Signed, numbered, with French assay marks  
18 karat white gold, approximately 6.00 inches

**Estimate**

\$12,000-15,000



**170. A Sapphire and Diamond Twin Ring**

A cushion mixed-cut sapphire, total 7.29 carats  
A cushion mixed-cut sapphire, total 7.23 carats  
Round brilliant- and baguette-cut, total 1.97 carats  
Platinum, size 6¾

*AGL Report: A and B, Madagascar, no gemological evidence of heat*

**Estimate**  
\$80,000-120,000



**171. A Pair of Diamond Earrings**

Round brilliant-cut and marquise-shaped diamonds, total approximately 18.00 carats  
18 karat white gold, approximately 2.25 inches

**Estimate**  
\$15,000-20,000





Property of a Lady

**172. A Fine Diamond Pendant Necklace, Graff**

A heart brilliant diamond, 5.39 carats  
Signed, numbered  
Platinum, approximately 16.00 inches

*GIA Report: D Color, IF Clarity*

**Estimate**

\$160,000-260,000









**173. A Diamond Necklace**

Round brilliant- and baguette-cut diamonds, total  
approximately 38.00 carats  
18 and 14 karat white gold, approximately 18.25 inches

**Estimate**  
\$30,000-50,000



**174. A Colombian Emerald and Diamond Ring**

A rectangular emerald-cut emerald, approximately 2.05 carats  
Round brilliant-cut and pear-shaped diamonds, total approximately 4.30 carats  
Platinum and 18 karat yellow gold, size 5½

*AGL Report: Colombian, minor to moderate clarity enhancement*

**Estimate**  
\$6,000-8,000

**175. A Diamond Ring**

A pear-shaped diamond, 4.01 carats  
Two tapered baguette-cut diamonds, total approximately 0.40 carat  
Platinum, size 7¼

*GIA Report: I Color, SI2 Clarity*

**Estimate**  
\$14,000-18,000

Property of a Lady

**176. An Emerald and Diamond Ring, Peter Lindeman**

A cushion-shaped emerald, 2.49 carats  
Round brilliant-cut diamonds, total 1.75 carats  
Unsigned, platinum, size 6¼

**Estimate**  
\$10,000-15,000





Property from an East Coast Collection

**177. A Diamond Necklace**

Marquise-shaped and round brilliant-cut diamonds, total  
approximately 36.00 carats  
Platinum, approximately 15.75 inches

**Estimate**

\$18,000-25,000



**178. A Diamond Ring**

A round brilliant diamond, 3.61 carats  
Platinum, size 4¾

*GIA Report: J Color, VVS2 Clarity*

**Estimate**  
\$18,000-25,000



Property of an International Gentleman

**179. A Colombian Emerald and Diamond Ring**

A rectangular emerald-cut emerald, 8.46 carats  
Round brilliant-cut and marquise-shaped diamonds, total  
approximately 2.35 carats  
Platinum and 18 karat yellow gold, size 4¾

*AGL Report: Colombian, traditional insignificant clarity  
enhancement*

**Estimate**  
\$30,000-50,000







**180. A Fancy Intense Diamond and Diamond Ring**

A cushion modified brilliant fancy intense yellow diamond, 7.38 carats  
Two triangular-shaped diamonds, total approximately 1.45 carats  
Platinum and 18 karat yellow gold, size 6

*GIA Report: Fancy Intense Yellow Color, VS2 Clarity*

**Estimate**

\$100,000-150,000





Property of an International Gentleman

**181. A Diamond Ring**

An emerald-cut diamond, 5.02 carats  
Two trapezoid-shaped diamonds, total approximately 0.90 carat  
Platinum and 18 karat white gold, size 5

*GIA Report: F Color, VS1 Clarity*

**Estimate**  
\$80,000-120,000













Property of a Michigan Lady

**182. A Fine Art Deco Colombian Emerald and Diamond Ring**

A square emerald-cut emerald, approximately 2.80 carats  
Two shield-shaped diamonds, total approximately 1.40 carats  
Platinum, size 5¼

*AGL Report: Colombian, traditional insignificant clarity treatment*

**Estimate**  
\$40,000-60,000





Property of a Collector

**183. A Beautiful Belle Époque Diamond Ring**

A cut-cornered rectangular step-cut diamond, 5.27 carats  
Single-cut diamonds, total approximately 0.50 carat  
Platinum, size 4

*GIA Report: G Color, VS2 Clarity*

**Estimate**

\$80,000-120,000











**184. A Fine Burmese Sapphire and Diamond Ring**

A rectangular-shaped step-cut sapphire, 7.70 carats  
Two trapezoid-shape diamonds, total 0.83 carat  
Platinum, size 6¼

*Gübelin Report: Burma, no indications of heating*

*SSEF Report: Burma, no indications of heating*

**Estimate**

\$120,000-150,000



Property of a Philanthropist

**185. An Important Colored Diamond and Diamond Ring**

An emerald-cut faint pink diamond, 4.91 carats  
Two triangular-shaped diamonds, total approximately 1.70 carats  
Baguette-cut diamonds, total approximately 2.85 carats  
Platinum, size 7½

*GIA Report: Natural, Faint Pink Color, VS1 Clarity*

**Estimate**

\$80,000-100,000





## Blue Diamonds

Natural blue diamonds are among the scarcest of diamonds and are predominantly found in the Cullinan Mine in South Africa. Geologists hypothesize that these gems formed within oceanic rocks. They most likely originated in the ocean floor over a billion years ago but were forced deeper into the Earth's mantle through a process of subduction. As a result, blue diamonds come from deeper within the Earth than other colored diamonds. It is believed that the color is derived from

trace amounts of boron impurities that existed within the ocean, absorbing red light to create this hue.

Due to the boron content, a blue diamond can conduct electricity. The most famous blue diamond, the 45.52 carat Hope Diamond, was donated by Harry Winston to the Smithsonian Institution in 1958. Due to its rarity, these gems are highly coveted by collectors and enthusiasts. This example offered in the sale is unusual for its round brilliant cut.





Property From An International Collection

**186. An Important Fancy Intense Diamond**

A round brilliant fancy intense blue diamond, 1.17 carats

*GIA Report: Fancy Intense Blue Color, VS2 Clarity*

**Estimate**

\$600,000-800,000



Actual Size





# Sale Information

## Department

**Auction & Viewing Location**  
450 Park Avenue New York 10022

**Auction**  
6 December 2018 at 2pm

**Viewing**  
30 November – 6 December  
Monday – Saturday 10am – 6pm  
Sunday 12pm – 6pm

**Sale Designation**  
When sending in written bids or making enquiries please refer to this sale as NY060118 or Jewels.

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fax +1 212 924 1749  
bidsnewyork@phillips.com

**Front cover** An Important Colored Diamond and Diamond Ring, Lot185  
**Back Cover** A Cultured Pearl and Diamond Necklace, Lot 129

## Jewels Department

**Head of Department, Americas, Senior International Specialist**  
Susan Abeles  
+1 212 940 1283  
sabeles@phillips.com

**International Specialist**  
Nazgol Jahan  
+1 212 940 1383  
njahan@phillips.com

**Senior Specialist**  
Eva Violante  
+1 212 940 1316  
eviolante@phillips.com

**Associate Specialist**  
Anne Mock, GG  
+1 212 940 1346  
amock@phillips.com

**Administrator**  
Alexi Riggins, GG  
+1 212 940 1377  
ariggins@phillips.com

**Head of Jewelry, Asia**  
Terry Chu +852 2318 2038  
tchu@phillips.com

**Head of Sale**  
Charlene Lau +852 2318 2039  
clau@phillips.com

**International Specialist**  
Anellie Manolas +852 2318 2041  
amanolas@phillips.com

**Specialist**  
Louisa Chan +886 2 8758 2310  
lchan@phillips.com

**Junior Specialist**  
Yoki Chan+852 2318 2036  
yokichan@phillips.com

**Geneva International Business Director**  
Myriam Christinaz +41 22 317 8184  
mchristinaz@phillips.com

**Taiwan Regional Director**  
Cindy Yen +886 2 8758 2310  
cyen@phillips.com

**Japan Specialist**  
Tomoko Mizutani +81 3 6273 4818  
tmizutani@phillips.com

**London Specialist, Client Liaison**  
Lane Mclean +44 20 7318 4032  
lmclean@phillips.com

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**Catalogues**  
Amy Pokora +1 212 940 1324  
catalogues@phillips.com  
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Michael Carretta +1 212 940 1232  
Buyer Accounts  
Dawniel Perry +1 212 940 1371  
Seller Accounts  
Carolina Swan +1 212 940 1253

**Client Services**  
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**Shipping**  
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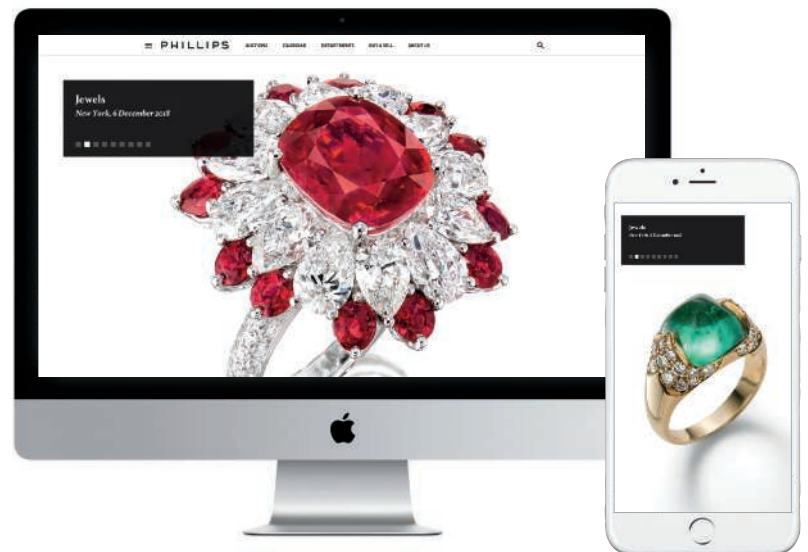
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## 1 Prior to Auction

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Our catalogues include references to condition only in the descriptions of multiple works (e.g., prints). Such references, though, do not amount to a full description of condition. The absence of reference to the condition of a lot in the catalogue entry does not imply that the lot is free from faults or imperfections. Solely as a convenience to clients, Phillips may provide condition reports. In preparing such reports, our specialists assess the condition in a manner appropriate to the estimated value of the property and the nature of the auction in which it is included. While condition reports are prepared honestly and carefully, our staff are not professional restorers or trained conservators. We therefore encourage all prospective buyers to inspect the property at the pre-sale exhibitions and recommend, particularly in the case of any lot of significant value, that you retain your own restorer or professional advisor to report to you on the property’s condition prior to bidding. Any prospective buyer of photographs or prints should always request a condition report because all such property is sold unframed, unless otherwise indicated in the condition report. If a lot is sold framed, Phillips accepts no liability for the condition of the frame. If we sell any lot unframed, we will be pleased to refer the purchaser to a professional framer.

## Pre-Auction Viewing

Pre-auction viewings are open to the public and free of charge. Our specialists are available to give advice and condition reports at viewings or by appointment.

## Electrical and Mechanical Lots

All lots with electrical and/or mechanical features are sold on the basis of their decorative value only and should not be assumed to be operative. It is essential that, prior to any intended use, the electrical system is verified and approved by a qualified electrician.

## Symbol Key

The following key explains the symbols you may see inside this catalogue.

## O Guaranteed Property

Lots designated with the symbol [O] are the subject of a minimum price guarantee. In such cases Phillips has guaranteed to the seller of the lot that regardless of the outcome of the sale the seller shall receive no less than a minimum sum. This guarantee may be provided solely by Phillips or jointly with a third party.

## ◆ Third Party Guarantee

Where Phillips has agreed to a minimum price guarantee it assumes the financial risk of a lot failing to sell or selling for less than the minimum price guarantee. Because the sums involved can be significant Phillips may choose to share the burden of that financial risk with a third party. The third party shares the risk by committing in advance of the sale, usually by way of a written bid, to buy the lot for an agreed amount whether or not there are competing bidders for the lot. If there are competing bidders third party guarantors may also bid above any written bid. In this way the third party guarantor assumes the risk of the bidding not reaching the amount of the minimum price guarantee.

In return for underwriting or sharing this risk Phillips will usually compensate the third party. The compensation may be in the form of a fixed fee or an amount calculated by reference to the hammer price of the lot. If the third party guarantor is the successful bidder they will be required to pay the full hammer price and buyer’s premium and will not be otherwise compensated.

## Disclosure of financial interest by third parties

Phillips requires third party guarantors to disclose their financial interest in the lot to anyone whom they are advising. If you are contemplating bidding on a lot which is the subject of a third party guarantee and you are being advised by someone or if you have asked someone to bid on your behalf you should always ask them to confirm whether or not they have a financial interest in the lot.

## Δ Property in Which Phillips Has an Ownership Interest

Lots with this symbol indicate that Phillips owns the lot in whole or in part or has an economic interest in the lot equivalent to an ownership interest.

## ● No Reserve

Unless indicated by a ●, all lots in this catalogue are offered subject to a reserve. A reserve is the confidential value established between Phillips and the seller and below which a lot may not be sold. The reserve for each lot is generally set at a percentage of the low estimate and will not exceed the low pre-sale estimate.

## Σ Regulated Species

Lots with this symbol have been identified at the time of cataloguing as containing endangered or other protected species of wildlife which may be subject to restrictions regarding export or import and which may require permits for export as well as import. Please refer to Paragraph 4 of the Guide for Prospective Buyers and Paragraph 11 of the Conditions of Sale.

## Colored Gemstones

Clients are advised that many colored gemstones are treated to enhance their properties. For example, heating is commonly used to improve the color or transparency of rubies and sapphires; oiling is commonly used to enhance the clarity of emeralds. Such enhancement procedures are widely accepted by the international jewelry profession. While heat enhancement of color is generally believed to be permanent, the process may impact the durability of the gemstone and special care of the stone may be required over time. Gemstones which have been oiled may need to be re-oiled after a period of years to retain their maximum clarity.

## Gemological Reports

As a matter of policy, Phillips will obtain gemological reports from officially recognized laboratories for certain gemstones offered for sale. A summary of these reports is included in the catalogue description for a lot, and a copy of the report is available upon request. Reports from American gemological laboratories used by Phillips will generally disclose heat enhancement or treatment of colored

gemstones. European gemological laboratory reports will disclose heat enhancement only if specifically requested but will generally confirm when no heat enhancement or treatment has been made. Variations in approach and technology used by different gemological laboratories may result in a lack of consensus among reports as to whether any particular gemstone has been treated, the extent of treatment and whether treatment is permanent.

It is not feasible for Phillips to obtain such reports for all gemstones offered at auction. Prospective buyers should, therefore, bear in mind that colored gemstones offered for sale without a gemological report or a specific statement in the catalogue entry may have been treated to enhance color, transparency or clarity. Enhancement of colored gemstones may affect market value, and our pre-sale estimates reflect the assumption that any colored gemstone not described in the catalogue entry as natural may have been treated.

**Country of Origin**

While Phillips attempts to obtain accurate information on the country of origin of gemstones, we do not guarantee the correctness of the catalogue or other descriptions of gemstones including country of origin.

**Watches**

Phillips makes no representation or warranty that any watch is in working order, and no catalogue description of any lot should be construed as so stating. Prospective buyers are advised to have watches checked by a competent watchmaker before use. As a service to prospective buyers, we may provide a description of the condition of watches in the catalogue entry, including references to defects and repairs, and furnish a condition report, but such information is not necessarily complete and may not specify all mechanical replacements, restorations or defects. Please note that Phillips does not guarantee the authenticity of any individual component parts, such as wheels, hands, crowns, crystals, screws, bracelets and leather bands, since prior repairs and restoration work may have resulted in the replacement of original parts. Nor does Phillips warrant that watches in water-resistant cases are currently water-resistant. Prospective buyers should inspect all watches prior to the auction to evaluate the condition of property offered for sale.

**▼ Importation of Watches Into the United States**

Prospecting buyers should be aware that the importation of luxury watches such as Rolex into the United States is highly restricted. These watches (not limited to Rolex) may not be shipped into the US and may only be imported personally. US customs regulations generally limit the importation of luxury watches to one per buyer. Lots marked with ▼ are subject to these restrictions. A purchaser’s inability to import a luxury watch into the United States or Phillips’s failure to mark a lot with ▼ shall not constitute grounds for non-payment or cancellation of the sale.

**◆ Exportation of Watch Bands Incorporating Material from Regulated Species**

Some of the watches offered for sale in this catalogue may

have bands made of regulated or protected animal materials such as alligator or crocodile and may not lawfully be exported from the auction site without a CITES export permit. As explained above, these lots are marked with ◆ in the catalogue. Accordingly, for purchased watches that are to be shipped out of the sale site for delivery, Phillips may need to remove and retain the band before shipping the watch and buckle.

**2 Bidding in the Sale**

**Bidding at Auction**

Bids may be executed during the auction in person by paddle, by telephone, online or prior to the sale in writing by absentee bid. Proof of identity in the form of government issued identification will be required, as will an original signature. We may also require that you furnish us with a bank reference.

**Bidding in Person**

To bid in person, you will need to register for and collect a paddle before the auction begins. New clients are encouraged to register at least 48 hours in advance of a sale to allow sufficient time for us to process your information. All lots sold will be invoiced to the name and address to which the paddle has been registered and invoices cannot be transferred to other names and addresses. Please do not misplace your paddle. In the event you lose it, inform a Phillips staff member immediately. At the end of the auction, please return your paddle to the registration desk.

**Bidding by Telephone**

If you cannot attend the auction, you may bid live on the telephone with one of our multi-lingual staff members. This service must be arranged at least 24 hours in advance of the sale and is available for lots whose low pre-sale estimate is at least \$1,000. Telephone bids may be recorded. By bidding on the telephone, you consent to the recording of your conversation. We suggest that you leave a maximum bid, excluding the buyer’s premium and any applicable taxes, which we can execute on your behalf in the event we are unable to reach you by telephone.

**Online Bidding**

If you cannot attend the auction in person, you may bid online on our online live bidding platform available on our website at [www.phillips.com](http://www.phillips.com). The digital saleroom is optimized to run on Google Chrome, Firefox, Opera and Internet Explorer browsers. Clients who wish to run the platform on Safari will need to install Adobe FlashPlayer. Follow the links to ‘Auctions’ and ‘Digital Saleroom’ and then pre-register by clicking on ‘Register to Bid Live.’ The first time you register you will be required to create an account; thereafter you will only need to register for each sale. You must pre-register at least 24 hours before the start of the auction in order to be approved by our bid department. Please note that corporate firewalls may cause difficulties for online bidders.

**Absentee Bids**

If you are unable to attend the auction and cannot participate by telephone, Phillips will be happy to execute written bids on your behalf. A bidding form can be found

at the back of this catalogue. This service is free and confidential. Bids must be placed in the currency of the sale. Our staff will attempt to execute an absentee bid at the lowest possible price taking into account the reserve and other bidders. Always indicate a maximum bid, excluding the buyer’s premium and any applicable taxes. Unlimited bids will not be accepted. Any absentee bid must be received at least 24 hours in advance of the sale. In the event of identical bids, the earliest bid received will take precedence.

**Employee Bidding**

Employees of Phillips and our affiliated companies, including the auctioneer, may bid at the auction by placing absentee bids so long as they do not know the reserve when submitting their absentee bids and otherwise comply with our employee bidding procedures.

**Bidding Increments**

Bidding generally opens below the low estimate and advances in increments of up to 10%, subject to the auctioneer’s discretion. Absentee bids that do not conform to the increments set below may be lowered to the next bidding increment.

\$50 to \$1,000	by \$50s
\$1,000 to \$2,000	by \$100s
\$2,000 to \$3,000	by \$200s
\$3,000 to \$5,000 (i.e., \$4,200, 4,500, 4,800)	by \$200s, 500, 800
\$5,000 to \$10,000	by \$500s
\$10,000 to \$20,000	by \$1,000s
\$20,000 to \$30,000	by \$2,000s
\$30,000 to \$50,000	by \$2,000s, 5,000, 8,000
\$50,000 to \$100,000	by \$5,000s
\$100,000 to \$200,000	by \$10,000s
above \$200,000	auctioneer’s discretion

The auctioneer may vary the increments during the course of the auction at his or her own discretion.

**3 The Auction**

**Conditions of Sale**

As noted above, the auction is governed by the Conditions of Sale and Authorship Warranty. All prospective bidders should read them carefully. They may be amended by saleroom addendum or auctioneer’s announcement.

**Interested Parties Announcement**

In situations where a person allowed to bid on a lot has a direct or indirect interest in such lot, such as the beneficiary or executor of an estate selling the lot, a joint owner of the lot or a party providing or participating in a guarantee on the lot, Phillips will make an announcement in the saleroom that interested parties may bid on the lot.

**Consecutive and Responsive Bidding; No Reserve Lots**

The auctioneer may open the bidding on any lot by placing a bid on behalf of the seller. The auctioneer may further bid on behalf of the seller up to the amount of the reserve

by placing consecutive bids or bids in response to other bidders. If a lot is offered without reserve, unless there are already competing absentee bids, the auctioneer will generally open the bidding at 50% of the lot's low pre-sale estimate. In the absence of a bid at that level, the auctioneer will proceed backwards at his or her discretion until a bid is recognized and will then advance the bidding from that amount. Absentee bids on no reserve lots will, in the absence of a higher bid, be executed at approximately 50% of the low pre-sale estimate or at the amount of the bid if it is less than 50% of the low pre-sale estimate. If there is no bid whatsoever on a no reserve lot, the auctioneer may deem such lot unsold.

**4 After the Auction**

**Payment**

Buyers are required to pay for purchases immediately following the auction unless other arrangements are agreed with Phillips in writing in advance of the sale. Payment must be made in US dollars either by cash, check drawn on a US bank or wire transfer, as noted in Paragraph 6 of the Conditions of Sale. It is our corporate policy not to make or accept single or multiple payments in cash or cash equivalents in excess of US\$10,000.

**Credit Cards**

As a courtesy to clients, Phillips will accept American Express, Visa and Mastercard to pay for invoices of \$50,000 or less.

**Collection**

It is our policy to request proof of identity on collection of a lot. A lot will be released to the buyer or the buyer's authorized representative when Phillips has received full and cleared payment and we are not owed any other amount by the buyer. Promptly after the auction, we will transfer all lots to our warehouse located at 29-09 37th Avenue in Long Island City, Queens, New York. All purchased lots should be collected at this location during our regular weekday business hours. As a courtesy to clients, we will upon request transfer purchased lots suitable for hand carry back to our premises at 450 Park Avenue, New York, New York for collection within 30 days following the date of the auction. We will levy removal, interest, storage and handling charges on uncollected lots.

**Loss or Damage**

Buyers are reminded that Phillips accepts liability for loss or damage to lots for a maximum of seven days following the auction.

**Transport and Shipping**

As a free service for buyers, Phillips will wrap purchased lots for hand carry only. Alternatively, we will either provide packing, handling and shipping services or coordinate with shipping agents in order to facilitate such services for property purchased at Phillips. In the event that the property is collected in New York by the buyer or the buyer's designee (including any private carrier) for subsequent transport out of state, Phillips may be required by law to collect New York sales tax, regardless of

the lot's ultimate destination. Please refer to Paragraph 17 of the Conditions of Sale for more information.

**Export and Import Licenses**

Before bidding for any property, prospective bidders are advised to make independent inquiries as to whether a license is required to export the property from the United States or to import it into another country. It is the buyer's sole responsibility to comply with all import and export laws and to obtain any necessary licenses or permits. The denial of any required license or permit or any delay in obtaining such documentation will not justify the cancellation of the sale or any delay in making full payment for the lot.

**Regulated Species**

Items made of or incorporating plant or animal material, such as coral, crocodile, ivory, whalebone, Brazilian rosewood, rhinoceros horn or tortoiseshell, irrespective of age, percentage or value, may require a license or certificate prior to exportation and additional licenses or certificates upon importation to any foreign country. Please note that the ability to obtain an export license or certificate does not ensure the ability to obtain an import license or certificate in another country, and vice versa. We suggest that prospective bidders check with their own government regarding wildlife import requirements prior to placing a bid. It is the buyer's sole responsibility to obtain any necessary export or import licenses or certificates as well as any other required documentation. Please note that lots containing potentially regulated plant or animal material are marked as a convenience to our clients, but Phillips does not accept liability for errors or for failing to mark lots containing protected or regulated species.

**Privacy**

Our Privacy Policy is available at [www.phillips.com](http://www.phillips.com) or by emailing [dataprotection@phillips.com](mailto:dataprotection@phillips.com) and sets out: (i) the types of personal data we will or may collect and process; (ii) the purposes for which we will or may process your personal data; (iii) the lawful bases we rely on when processing your personal data; (iv) your rights in respect of our processing of your personal data; and (v) various other information as required by applicable laws.

Phillips premises, sale, and exhibition venues are subject to CCTV video surveillance and recording for security, client service and bid monitoring purposes. Phillips' auctions will be filmed for simultaneous live broadcast on Phillips' and third party websites and applications.

Your communications with Phillips, including by phone and online (e.g. phone and on-line bidding) may be recorded for security, client service and bid monitoring purposes. Where we record such information we will process it in accordance with our Privacy Policy.



## Conditions of Sale

The Conditions of Sale and Authorship Warranty set forth below govern the relationship between bidders and buyers, on the one hand, and Phillips and sellers, on the other hand. All prospective buyers should read these Conditions of Sale and Authorship Warranty carefully before bidding.

### 1 Introduction

Each lot in this catalogue is offered for sale and sold subject to: (a) the Conditions of Sale and Authorship Warranty; (b) additional notices and terms printed in other places in this catalogue, including the Guide for Prospective Buyers, and (c) supplements to this catalogue or other written material posted by Phillips in the saleroom, in each case as amended by any addendum or announcement by the auctioneer prior to the auction.

By bidding at the auction, whether in person, through an agent, by written bid, by telephone bid or other means, bidders and buyers agree to be bound by these Conditions of Sale, as so changed or supplemented, and Authorship Warranty.

These Conditions of Sale, as so changed or supplemented, and Authorship Warranty contain all the terms on which Phillips and the seller contract with the buyer.

### 2 Phillips as Agent

Phillips acts as an agent for the seller, unless otherwise indicated in this catalogue or at the time of auction. On occasion, Phillips may own a lot directly, in which case we will act in a principal capacity as a consignor, or a company affiliated with Phillips may own a lot, in which case we will act as agent for that company, or Phillips or an affiliated company may have a legal, beneficial or financial interest in a lot as a secured creditor or otherwise.

### 3 Catalogue Descriptions and Condition of Property

Lots are sold subject to the Authorship Warranty, as described in the catalogue (unless such description is changed or supplemented, as provided in Paragraph 1 above) and in the condition that they are in at the time of the sale on the following basis.

(a) The knowledge of Phillips in relation to each lot is partially dependent on information provided to us by the seller, and Phillips is not able to and does not carry out exhaustive due diligence on each lot. Prospective buyers acknowledge this fact and accept responsibility for carrying out inspections and investigations to satisfy themselves as to the lots in which they may be interested. Notwithstanding the foregoing, we shall exercise such reasonable care when making express statements in catalogue descriptions or condition reports as is consistent with our role as auctioneer of lots in this sale and in light of (i) the information provided to us by the seller, (ii) scholarship and technical knowledge and (iii) the generally accepted opinions of relevant experts, in each case at the time any such express statement is made.

(b) Each lot offered for sale at Phillips is available for inspection by prospective buyers prior to the auction. Phillips accepts bids on lots on the basis that bidders (and independent experts on their behalf, to the extent appropriate given the nature and value of the lot and the bidder's own expertise) have fully inspected the lot prior to bidding and have satisfied themselves as to both the condition of the lot and the accuracy of its description.

(c) Prospective buyers acknowledge that many lots are of an age and type which means that they are not in perfect condition. As a courtesy to clients, Phillips may prepare and provide condition reports to assist prospective buyers when they are inspecting lots. Catalogue descriptions and condition reports may make reference to particular imperfections of a lot, but bidders should note that lots may have other faults not expressly referred to in the catalogue or condition report. All dimensions are approximate. Illustrations are for identification purposes only and cannot be used as precise indications of size or to convey full information as to the actual condition of lots.

(d) Information provided to prospective buyers in respect of any lot, including any pre-sale estimate, whether written or oral, and information in any catalogue, condition or other report, commentary or valuation, is not a representation of fact but rather a statement of opinion held by Phillips. Any pre-sale estimate may not be relied on as a prediction of the selling price or value of the lot and may be revised from time to time by Phillips in our absolute discretion. Neither Phillips nor any of our affiliated companies shall be liable for any difference between the pre-sale estimates for any lot and the actual price achieved at auction or upon resale.

### 4 Bidding at Auction

(a) Phillips has absolute discretion to refuse admission to the auction or participation in the sale. All bidders must register for a paddle prior to bidding, supplying such information and references as required by Phillips.

(b) As a convenience to bidders who cannot attend the auction in person, Phillips may, if so instructed by the bidder, execute written absentee bids on a bidder's behalf. Absentee bidders are required to submit bids on the Absentee Bid Form, a copy of which is printed in this catalogue or otherwise available from Phillips. Bids must be placed in the currency of the sale. The bidder must clearly indicate the maximum amount he or she intends to bid, excluding the buyer's premium and any applicable sales or use taxes. The auctioneer will not accept an instruction to execute an absentee bid which does not indicate such maximum bid. Our staff will attempt to execute an absentee bid at the lowest possible price taking into account the reserve and other bidders. Any absentee bid must be received at least 24 hours in advance of the sale. In the event of identical bids, the earliest bid received will take precedence.

(c) Telephone bidders are required to submit bids on the Telephone Bid Form, a copy of which is printed in this catalogue or otherwise available from Phillips. Telephone

bidding is available for lots whose low pre-sale estimate is at least \$1,000. Phillips reserves the right to require written confirmation of a successful bid from a telephone bidder by fax or otherwise immediately after such bid is accepted by the auctioneer. Telephone bids may be recorded and, by bidding on the telephone, a bidder consents to the recording of the conversation.

(d) Bidders may participate in an auction by bidding online through Phillips's online live bidding platform available on our website at [www.phillips.com](http://www.phillips.com). To bid online, bidders must register online at least 24 hours before the start of the auction. Online bidding is subject to approval by Phillips's bid department in our sole discretion. As noted in Paragraph 3 above, Phillips encourages online bidders to inspect prior to the auction any lot(s) on which they may bid, and condition reports are available upon request. Bidding in a live auction can progress quickly. To ensure that online bidders are not placed at a disadvantage when bidding against bidders in the room or on the telephone, the procedure for placing bids through Phillips's online bidding platform is a one-step process. By clicking the bid button on the computer screen, a bidder submits a bid. Online bidders acknowledge and agree that bids so submitted are final and may not under any circumstances be amended or retracted. During a live auction, when bids other than online bids are placed, they will be displayed on the online bidder's computer screen as 'floor' bids. 'Floor' bids include bids made by the auctioneer to protect the reserve. In the event that an online bid and a 'floor' or 'phone' bid are identical, the 'floor' bid may take precedence at the auctioneer's discretion. The next bidding increment is shown for the convenience of online bidders in the bid button. The bidding increment available to online bidders may vary from the next bid actually taken by the auctioneer, as the auctioneer may deviate from Phillips's standard increments at any time at his or her discretion, but an online bidder may only place a bid in a whole bidding increment. Phillips's bidding increments are published in the Guide for Prospective Buyers.

(e) When making a bid, whether in person, by absentee bid, on the telephone or online, a bidder accepts personal liability to pay the purchase price, as described more fully in Paragraph 6 (a) below, plus all other applicable charges unless it has been explicitly agreed in writing with Phillips before the commencement of the auction that the bidder is acting as agent on behalf of an identified third party acceptable to Phillips and that we will only look to the principal for such payment.

(f) By participating in the auction, whether in person, by absentee bid, on the telephone or online, each prospective buyer represents and warrants that any bids placed by such person, or on such person's behalf, are not the product of any collusive or other anti-competitive agreement and are otherwise consistent with federal and state antitrust law.

(g) Arranging absentee, telephone and online bids is a free service provided by Phillips to prospective buyers. While we undertake to exercise reasonable care in

undertaking such activity, we cannot accept liability for failure to execute such bids except where such failure is caused by our willful misconduct.

(h) Employees of Phillips and our affiliated companies, including the auctioneer, may bid at the auction by placing absentee bids so long as they do not know the reserve when submitting their absentee bids and otherwise comply with our employee bidding procedures.

**5 Conduct of the Auction**

(a) Unless otherwise indicated by the symbol •, each lot is offered subject to a reserve, which is the confidential minimum selling price agreed by Phillips with the seller. The reserve will not exceed the low pre-sale estimate at the time of the auction.

(b) The auctioneer has discretion at any time to refuse any bid, withdraw any lot, re-offer a lot for sale (including after the fall of the hammer) if he or she believes there may be error or dispute and take such other action as he or she deems reasonably appropriate. Phillips shall have no liability whatsoever for any such action taken by the auctioneer. If any dispute arises after the sale, our sale record is conclusive. The auctioneer may accept bids made by a company affiliated with Phillips provided that the bidder does not know the reserve placed on the lot.

(c) The auctioneer will commence and advance the bidding at levels and in increments he or she considers appropriate. In order to protect the reserve on any lot, the auctioneer may place one or more bids on behalf of the seller up to the reserve without indicating he or she is doing so, either by placing consecutive bids or bids in response to other bidders. If a lot is offered without reserve, unless there are already competing absentee bids, the auctioneer will generally open the bidding at 50% of the lot’s low pre-sale estimate. In the absence of a bid at that level, the auctioneer will proceed backwards at his or her discretion until a bid is recognized and will then advance the bidding from that amount. Absentee bids on no reserve lots will, in the absence of a higher bid, be executed at approximately 50% of the low pre-sale estimate or at the amount of the bid if it is less than 50% of the low pre-sale estimate. If there is no bid whatsoever on a no reserve lot, the auctioneer may deem such lot unsold.

(d) The sale will be conducted in US dollars and payment is due in US dollars. For the benefit of international clients, pre-sale estimates in the auction catalogue may be shown in pounds sterling and/or euros and, if so, will reflect approximate exchange rates. Accordingly, estimates in pounds sterling or euros should be treated only as a guide. If a currency converter is operated during the sale, it is done so as a courtesy to bidders, but Phillips accepts no responsibility for any errors in currency conversion calculation.

(e) Subject to the auctioneer’s reasonable discretion, the highest bidder accepted by the auctioneer will be the buyer and the striking of the hammer marks the acceptance of the highest bid and the conclusion of a contract for sale between the seller and the buyer. Risk

and responsibility for the lot passes to the buyer as set forth in Paragraph 7 below.

(f) If a lot is not sold, the auctioneer will announce that it has been “passed,” “withdrawn,” “returned to owner” or “bought-in.”

(g) Any post-auction sale of lots offered at auction shall incorporate these Conditions of Sale and Authorship Warranty as if sold in the auction.

**6 Purchase Price and Payment**

(a) The buyer agrees to pay us, in addition to the hammer price of the lot, the buyer’s premium and any applicable sales tax (the “Purchase Price”). The buyer’s premium is 25% of the hammer price up to and including \$300,000, 20% of the portion of the hammer price above \$300,000 up to and including \$4,000,000 and 12.5% of the portion of the hammer price above \$4,000,000. Phillips reserves the right to pay from our compensation an introductory commission to one or more third parties for assisting in the sale of property offered and sold at auction.

(b) Sales tax, use tax and excise and other taxes are payable in accordance with applicable law. All prices, fees, charges and expenses set out in these Conditions of Sale are quoted exclusive of applicable taxes. Phillips will only accept valid resale certificates from US dealers as proof of exemption from sales tax. All foreign buyers should contact the Client Accounting Department about tax matters.

(c) Unless otherwise agreed, a buyer is required to pay for a purchased lot immediately following the auction regardless of any intention to obtain an export or import license or other permit for such lot. Payments must be made by the invoiced party in US dollars either by cash, check drawn on a US bank or wire transfer, as follows:

(i) Phillips will accept payment in cash provided that the total amount paid in cash or cash equivalents does not exceed US\$10,000. Buyers paying in cash should do so in person at our Client Accounting Desk at 450 Park Avenue during regular weekday business hours.

(ii) Personal checks and banker’s drafts are accepted if drawn on a US bank and the buyer provides to us acceptable government issued identification. Checks and banker’s drafts should be made payable to “Phillips.” If payment is sent by mail, please send the check or banker’s draft to the attention of the Client Accounting Department at 450 Park Avenue, New York, NY 10022 and make sure that the sale and lot number is written on the check. Checks or banker’s drafts drawn by third parties will not be accepted.

(iii) Payment by wire transfer may be sent directly to Phillips. Bank transfer details:

Citibank  
322 West 23rd Street, New York, NY 10011  
SWIFT Code: CITIUS33  
ABA Routing: 021 000 089  
For the account of Phillips  
Account no.: 58347736

Please reference the relevant sale and lot number.

(d) As a courtesy to clients, Phillips will accept American Express, Visa and Mastercard to pay for invoices of \$50,000 or less.

(e) Title in a purchased lot will not pass until Phillips has received the Purchase Price for that lot in cleared funds. Phillips is not obliged to release a lot to the buyer until title in the lot has passed and appropriate identification has been provided, and any earlier release does not affect the passing of title or the buyer’s unconditional obligation to pay the Purchase Price.

**7 Collection of Property**

(a) Phillips will not release a lot to the buyer until we have received payment of its Purchase Price in full in cleared funds, the buyer has satisfied all of the foregoing conditions, Phillips or any of our affiliated companies, including any charges payable pursuant to Paragraph 8 (a) below, and the buyer has satisfied such other terms as we in our sole discretion shall require, including completing any anti-money laundering or anti-terrorism financing checks. As soon as a buyer has satisfied all of the foregoing conditions, he or she should contact our Shipping Department at +1 212 940 1372 or +1 212 940 1373 to arrange for collection of purchased property.

(b) The buyer must arrange for collection of a purchased lot within seven days of the date of the auction. Promptly after the auction, we will transfer all lots to our warehouse located at 29-09 37th Avenue in Long Island City, Queens, New York. All purchased lots should be collected at this location during our regular weekday business hours. As a courtesy to clients, Phillips will upon request transfer on a bi-weekly basis purchased lots suitable for hand-carry back to our premises at 450 Park Avenue, New York, New York for collection within 30 days following the date of the auction. Purchased lots are at the buyer’s risk, including the responsibility for insurance, from the earlier to occur of (i) the date of collection or (ii) seven days after the auction. Until risk passes, Phillips will compensate the buyer for any loss or damage to a purchased lot up to a maximum of the Purchase Price paid, subject to our usual exclusions for loss or damage to property.

(c) As a courtesy to clients, Phillips will, without charge, wrap purchased lots for hand-carry only. We will, at the buyer’s expense, either provide packing, handling, insurance and shipping services or coordinate with shipping agents instructed by the buyer in order to facilitate such services for property bought at Phillips. Any such instruction, whether or not made at our recommendation, is entirely at the buyer’s risk and responsibility, and we will not be liable for acts or omissions of third party packers or shippers. Third party shippers should contact us by telephone at +1 212 940 1376 or by fax at +1 212 924 6477 at least 24 hours in advance of collection in order to schedule pickup.

(d) Phillips will require presentation of government issued identification prior to release of a lot to the buyer or the buyer’s authorized representative.

## 8 Failure to Collect Purchases

(a) If the buyer pays the Purchase Price but fails to collect a purchased lot within 30 days of the auction, the buyer will incur a late collection fee of \$10 per day for each uncollected lot. Additional charges may apply to oversized lots. We will not release purchased lots to the buyer until all such charges have been paid in full.

(b) If a purchased lot is paid for but not collected within six months of the auction, the buyer authorizes Phillips, upon notice, to arrange a resale of the item by auction or private sale, with estimates and a reserve set at Phillips's reasonable discretion. The proceeds of such sale will be applied to pay for storage charges and any other outstanding costs and expenses owed by the buyer to Phillips or our affiliated companies and the remainder will be forfeited unless collected by the buyer within two years of the original auction.

## 9 Remedies for Non-Payment

(a) Without prejudice to any rights the seller may have, if the buyer without prior agreement fails to make payment of the Purchase Price for a lot in cleared funds within seven days of the auction, Phillips may in our sole discretion exercise one or more of the following remedies: (i) store the lot at Phillips's premises or elsewhere at the buyer's sole risk and expense at the same rates as set forth in Paragraph 8 (a) above; (ii) cancel the sale of the lot, retaining any partial payment of the Purchase Price as liquidated damages; (iii) reject future bids from the buyer or render such bids subject to payment of a deposit; (iv) charge interest at 12% per annum from the date payment became due until the date the Purchase Price is received in cleared funds; (v) subject to notification of the buyer, exercise a lien over any of the buyer's property which is in the possession of Phillips and instruct our affiliated companies to exercise a lien over any of the buyer's property which is in their possession and, in each case, no earlier than 30 days from the date of such notice, arrange the sale of such property and apply the proceeds to the amount owed to Phillips or any of our affiliated companies after the deduction from sale proceeds of our standard vendor's commission and all sale-related expenses; (vi) resell the lot by auction or private sale, with estimates and a reserve set at Phillips reasonable discretion, it being understood that in the event such resale is for less than the original hammer price and buyer's premium for that lot, the buyer will remain liable for the shortfall together with all costs incurred in such resale; (vii) commence legal proceedings to recover the hammer price and buyer's premium for that lot, together with interest and the costs of such proceedings; (viii) set off the outstanding amount remaining unpaid by the buyer against any amounts which we or any of our affiliated companies may owe the buyer in any other transactions; (ix) release the name and address of the buyer to the seller to enable the seller to commence legal proceedings to recover the amounts due and legal costs or (x) take such other action as we deem necessary or appropriate.

(b) As security to us for full payment by the buyer of all outstanding amounts due to Phillips and our affiliated companies, Phillips retains, and the buyer grants to us, a

security interest in each lot purchased at auction by the buyer and in any other property or money of the buyer in, or coming into, our possession or the possession of one of our affiliated companies. We may apply such money or deal with such property as the Uniform Commercial Code or other applicable law permits a secured creditor to do. In the event that we exercise a lien over property in our possession because the buyer is in default to one of our affiliated companies, we will so notify the buyer. Our security interest in any individual lot will terminate upon actual delivery of the lot to the buyer or the buyer's agent.

(c) In the event the buyer is in default of payment to any of our affiliated companies, the buyer also irrevocably authorizes Phillips to pledge the buyer's property in our possession by actual or constructive delivery to our affiliated company as security for the payment of any outstanding amount due. Phillips will notify the buyer if the buyer's property has been delivered to an affiliated company by way of pledge.

## 10 Rescission by Phillips

Phillips shall have the right, but not the obligation, to rescind a sale without notice to the buyer if we reasonably believe that there is a material breach of the seller's representations and warranties or the Authorship Warranty or an adverse claim is made by a third party. Upon notice of Phillips's election to rescind the sale, the buyer will promptly return the lot to Phillips, and we will then refund the Purchase Price paid to us. As described more fully in Paragraph 13 below, the refund shall constitute the sole remedy and recourse of the buyer against Phillips and the seller with respect to such rescinded sale.

## 11 Export, Import and Endangered Species Licenses and Permits

Before bidding for any property, prospective buyers are advised to make their own inquiries as to whether a license is required to export a lot from the US or to import it into another country. Prospective buyers are advised that some countries prohibit the import of property made of or incorporating plant or animal material, such as coral, crocodile, ivory, whalebone, Brazilian rosewood, rhinoceros horn or tortoiseshell, irrespective of age, percentage or value. Accordingly, prior to bidding, prospective buyers considering export of purchased lots should familiarize themselves with relevant export and import regulations of the countries concerned. It is solely the buyer's responsibility to comply with these laws and to obtain any necessary export, import and endangered species licenses or permits. Failure to obtain a license or permit or delay in so doing will not justify the cancellation of the sale or any delay in making full payment for the lot. As a courtesy to clients, Phillips has marked in the catalogue lots containing potentially regulated plant or animal material, but we do not accept liability for errors or for failing to mark lots containing protected or regulated species.

## 12 Privacy

(a) You acknowledge and understand that we may process your personal data (including potentially special category data) in accordance with our privacy policy from time to

time as published at [www.phillips.com](http://www.phillips.com) or available by emailing [dataprotection@phillips.com](mailto:dataprotection@phillips.com).

(b) Our privacy policy sets out: (i) the types of personal data we will or may collect and process; (ii) the purposes for which we will or may process your personal data (including for example the provision of auction, private sale and related services; the performance and enforcement of these terms and conditions; the carrying out of identity and credit checks; keeping you informed about upcoming auctions, exhibitions and special events; and generally where reasonably necessary in the management and operation of our business); (iii) the lawful bases on which we rely in undertaking our processing of your personal data; (iv) your rights in respect of our processing of your personal data; and (v) various other information as required by applicable laws.

(c) Phillips premises and sale and exhibition venues are subject to CCTV video surveillance and recording for security, client service and bid monitoring purposes and will be filmed during the auction for simultaneous live broadcast on our and third party websites and applications. By remaining in these areas, you acknowledge that you may be photographed, filmed and recorded and grant your permission for your likeness and voice to be included in such recordings. If you do not wish to be photographed or filmed or appear in such recordings, please speak to a member of Phillips staff.

Your communications with Phillips, including by telephone and online (e.g. telephone and on-line bidding) may also be recorded for security, client service and bid monitoring purposes. Where we record such information we will process it in accordance with our Privacy Policy available at [www.phillips.com](http://www.phillips.com).

## 13 Limitation of Liability

(a) Subject to subparagraph (e) below, the total liability of Phillips, our affiliated companies and the seller to the buyer in connection with the sale of a lot shall be limited to the Purchase Price actually paid by the buyer for the lot.

(b) Except as otherwise provided in this Paragraph 13, none of Phillips, any of our affiliated companies or the seller (i) is liable for any errors or omissions, whether orally or in writing, in information provided to prospective buyers by Phillips or any of our affiliated companies or (ii) accepts responsibility to any bidder in respect of acts or omissions, whether negligent or otherwise, by Phillips or any of our affiliated companies in connection with the conduct of the auction or for any other matter relating to the sale of any lot.

(c) All warranties other than the Authorship Warranty, express or implied, including any warranty of satisfactory quality and fitness for purpose, are specifically excluded by Phillips, our affiliated companies and the seller to the fullest extent permitted by law.

(d) Subject to subparagraph (e) below, none of Phillips, any of our affiliated companies or the seller shall be liable to the buyer for any loss or damage beyond the refund of



the Purchase Price referred to in subparagraph (a) above, whether such loss or damage is characterized as direct, indirect, special, incidental or consequential, or for the payment of interest on the Purchase Price to the fullest extent permitted by law.

(e) No provision in these Conditions of Sale shall be deemed to exclude or limit the liability of Phillips or any of our affiliated companies to the buyer in respect of any fraud or fraudulent misrepresentation made by any of us or in respect of death or personal injury caused by our negligent acts or omissions.

**14 Copyright**

The copyright in all images, illustrations and written materials produced by or for Phillips relating to a lot, including the contents of this catalogue, is and shall remain at all times the property of Phillips and such images and materials may not be used by the buyer or any other party without our prior written consent. Phillips and the seller make no representations or warranties that the buyer of a lot will acquire any copyright or other reproduction rights in it.

**15 General**

(a) These Conditions of Sale, as changed or supplemented as provided in Paragraph 1 above, and Authorship Warranty set out the entire agreement between the parties with respect to the transactions contemplated herein and supersede all prior and contemporaneous written, oral or implied understandings, representations and agreements.

(b) Notices to Phillips shall be in writing and addressed to the department in charge of the sale, quoting the reference number specified at the beginning of the sale catalogue. Notices to clients shall be addressed to the last address notified by them in writing to Phillips.

(c) These Conditions of Sale are not assignable by any buyer without our prior written consent but are binding on the buyer’s successors, assigns and representatives.

(d) Should any provision of these Conditions of Sale be held void, invalid or unenforceable for any reason, the remaining provisions shall remain in full force and effect. No failure by any party to exercise, nor any delay in exercising, any right or remedy under these Conditions of Sale shall act as a waiver or release thereof in whole or in part.

**16 Law and Jurisdiction**

(a) The rights and obligations of the parties with respect to these Conditions of Sale and Authorship Warranty, the conduct of the auction and any matters related to any of the foregoing shall be governed by and interpreted in accordance with laws of the State of New York, excluding its conflicts of law rules.

(b) Phillips, all bidders and all sellers agree to the exclusive jurisdiction of the (i) state courts of the State of New York located in New York City and (ii) the federal courts for the Southern and Eastern Districts of New York to settle

all disputes arising in connection with all aspects of all matters or transactions to which these Conditions of Sale and Authorship Warranty relate or apply.

(c) All bidders and sellers irrevocably consent to service of process or any other documents in connection with proceedings in any court by facsimile transmission, personal service, delivery by mail or in any other manner permitted by New York law or the law of the place of service, at the last address of the bidder or seller known to Phillips.

**17 Sales Tax**

(a) Unless the buyer has delivered a valid certificate evidencing exemption from tax, the buyer shall pay applicable sales tax on any lot picked up or delivered anywhere in the United States.

(b) If the point of delivery or transfer of possession for any purchased lot to the buyer or the buyer’s designee (including any private carrier) occurs in New York, then the sale is subject to New York sales tax at the existing rate of 8.875%.

(c) If the buyer arranges shipping for any purchased lot in New York by a common carrier (such as the United States Postal Service, United Parcel Service, or FedEx) that does not operate under a private agreement or contract with negotiated terms to be delivered to an out of state destination, then the sale is not subject to New York sales tax.

**Authorship Warranty**

Phillips warrants the authorship of property in this auction catalogue described in headings in **bold** or CAPITALIZED type for a period of five years from date of sale by Phillips, subject to the exclusions and limitations set forth below.

(a) Phillips gives this Authorship Warranty only to the original buyer of record (i.e., the registered successful bidder) of any lot. This Authorship Warranty does not extend to (i) subsequent owners of the property, including purchasers or recipients by way of gift from the original buyer, heirs, successors, beneficiaries and assigns; (ii) property where the description in the catalogue states that there is a conflict of opinion on the authorship of the property; (iii) property where our attribution of authorship was on the date of sale consistent with the generally accepted opinions of specialists, scholars or other experts; (iv) property whose description or dating is proved inaccurate by means of scientific methods or tests not generally accepted for use at the time of the publication of the catalogue or which were at such time deemed unreasonably expensive or impractical to use or likely in our reasonable opinion to have caused damage or loss in value to the lot or (v) property where there has been no material loss in value from the value of the lot had it been as described in the heading of the catalogue entry.

(b) In any claim for breach of the Authorship Warranty,

Phillips reserves the right, as a condition to rescinding any sale under this warranty, to require the buyer to provide to us at the buyer’s expense the written opinions of two recognized experts approved in advance by Phillips. We shall not be bound by any expert report produced by the buyer and reserve the right to consult our own experts at our expense. If Phillips agrees to rescind a sale under the Authorship Warranty, we shall refund to the buyer the reasonable costs charged by the experts commissioned by the buyer and approved in advance by us.

(c) Subject to the exclusions set forth in subparagraph (a) above, the buyer may bring a claim for breach of the Authorship Warranty provided that (i) he or she has notified Phillips in writing within three months of receiving any information which causes the buyer to question the authorship of the lot, specifying the auction in which the property was included, the lot number in the auction catalogue and the reasons why the authorship of the lot is being questioned and (ii) the buyer returns the lot to Phillips to the saleroom in which it was purchased in the same condition as at the time of its auction and is able to transfer good and marketable title in the lot free from any third party claim arising after the date of the auction. Phillips has discretion to waive any of the foregoing requirements set forth in this subparagraph (c) or subparagraph (b) above.

(d) The buyer understands and agrees that the exclusive remedy for any breach of the Authorship Warranty shall be rescission of the sale and refund of the original Purchase Price paid. This remedy shall constitute the sole remedy and recourse of the buyer against Phillips, any of our affiliated companies and the seller and is in lieu of any other remedy available as a matter of law or equity. This means that none of Phillips, any of our affiliated companies or the seller shall be liable for loss or damage beyond the remedy expressly provided in this Authorship Warranty, whether such loss or damage is characterized as direct, indirect, special, incidental or consequential, or for the payment of interest on the original Purchase Price.



Tiffany Studios  
"Wisteria" table lamp, circa 1905

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## Design

*New York Evening Sale*  
**13 December 2018**

Public viewing 9-13 December  
at 450 Park Avenue, New York.

**Enquiries**  
Cordelia Lembo  
[clembo@phillips.com](mailto:clembo@phillips.com)

PHILLIPS

Please return this form by email to bidsnewyork@phillips.com at least 24 hours before the sale.

Please read carefully the information in the right column and note that it is important that you indicate whether you are applying as an individual or on behalf of a company.

Please select the type of bid you wish to make with this form (please select one):

- ☐ In-person
- ☐ Absentee Bidding
- ☐ Telephone Bidding

Paddle Number

Please indicate in what capacity you will be bidding (please select one):

- ☐ As a private individual
- ☐ On behalf of a company

Sale Title		Sale Number	Sale Date
Title	First Name	Surname	
Company (if applicable)		Account Number	
Address			
City		State/Country	
Zip Code			
Phone		Mobile	
Email		Fax	
Phone (for Phone Bidding only)			
Phone number to call at the time of sale (for Phone Bidding only)			
1.		2.	

Please complete the following section for telephone and absentee bids only

Lot Number In Consecutive Order	Brief Description	US \$ Limit* Absentee Bids Only

\* Excluding Buyer’s Premium and sales or use taxes

SignatureDate

☐ By checking this box, you confirm your registration/bid(s) as above and accept the Conditions of Sale of Phillips as stated in our catalogues and on our website.

- **Private purchases:** Proof of identity in the form of government-issued identification will be required.
- **Company purchases:** If you are buying under a business entity we require a copy of government-issued identification (such as a resale certificate, corporate bank information or the certificate of incorporation) to verify the status of the company.
- **Conditions of Sale:** All bids are placed and executed, and all lots are sold and purchased, subject to the Conditions of Sale printed in the catalogue. Please read them carefully before placing a bid. Your attention is drawn to Paragraph 4 of the Conditions of Sale.
- If you cannot attend the sale, we can execute bids confidentially on your behalf.
- Phillips charges the successful bidder a commission, or buyer’s premium, on the hammer price of each lot sold. The buyer’s premium is payable by the buyer as part of the total purchase price at the following rates: 25% of the hammer price up to and including \$300,000, 20% of the portion of the hammer price above \$300,000 up to and including \$4,000,000 and 12.5% of the portion of the hammer price above \$4,000,000 on each lot sold.
- “Buy” or unlimited bids will not be accepted. Alternative bids can be placed by using the word “OR” between lot numbers.
- For absentee bids, indicate your maximum limit for each lot, excluding the buyer’s premium and any applicable sales or use tax. Your bid will be executed at the lowest price taking into account the reserve and other bidders. On no reserve lots, in the absence of other bids, your bid will be executed at approximately 50% of the low pre-sale estimate or at the amount specified, if less than 50% of the low estimate.
- Your bid must be submitted in the currency of the sale and will be rounded down to the nearest amount consistent with the auctioneer’s bidding increments.
- If we receive identical bids, the first bid received will take precedence.
- Arranging absentee and telephone bids is a free service provided by us to prospective buyers. While we will exercise reasonable care in undertaking such activity, we cannot accept liability for errors relating to execution of your bids except in cases of wilful misconduct. Agreement to bid by telephone must be confirmed by you promptly in writing or by fax. Telephone bid lines may be recorded.
- Please submit your bids to the Bid Department by email to bidsnewyork@phillips.com or by fax at +1 212 924 1749 at least 24 hours before the sale. You will receive confirmation by email within one business day. To reach the Bid Department by phone please call +1 212 940 1228.
- Absent prior payment arrangements, please provide a bank reference. Payment can be made by cash (up to \$10,000), credit card (up to \$50,000), money order, wire transfer, bank check or personal check with identification.
- Lots cannot be collected until payment has cleared and all charges have been paid.
- By signing this Bid Form, you acknowledge and understand that we may process your personal data (including potentially special category data) in accordance with Phillips’s Privacy Policy as published at www.phillips.com or available by emailing dataprotection@phillips.com.
- Phillips’s premises may be subject to video surveillance and recording. Telephone calls (e.g., telephone bidding) may also be recorded. We may process that information in accordance with our Privacy Policy.

☐ Please check this box to receive emails about upcoming sales, exhibitions, and special events offered by members of the Phillips group, as referenced in our Privacy Policy available on our website at www.phillips.com, where you may also update your email preferences or unsubscribe at any time.





30. A Pair of Amethyst, Turquoise and Diamond Earrings, Cartier

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Colorless Diamond Index

Color	Clarity	Carat	Cut	Lot
D	IF	5.39	heart-shaped	172
D	VS2	1.98	emerald-cut	154
F	VS1	5.02	emerald-cut	181
G	VS1	2.06	round	134
G	VS2	5.27	emerald-cut	183
I	SI1	4.01	heart-shaped	161
I	SI2	4.01	pear-shaped	175
I	I1	3.23	round	37
J	VVS2	3.61	round	178
L	VS1	6.58	round	44

Fancy Colored Diamond Index

Color	Clarity	Carat	Cut	Lot
Faint Pink	VS1	4.91	Emerald-cut	185
Fancy Intense Blue	VS2	1.17	Round	186
Fancy Intense Yellow	VS1	14.14	Pear-shaped	152
Fancy Intense Yellow	VVS2	1.74	Rectangular-shape	155
Fancy Intense Yellow	VVS2	1.57	Emerald-cut	162
Fancy Intense Yellow	VS2	7.38	Cushion-cut	180
Fancy Yellow	VS1	1.35	Emerald-cut	149

Ruby Index

Origin	Treatement	Laboratory Report	Carat	Cut	Lot
Burma (Myanmar)	None	AGL	1.80	Cushion-cut	24
N/A	None	GIA	3.58	Cushion-cut	146
N/A	None	GIA	3.50	Cushion-cut	146
Thailand	None	GRS	2.76	Cushion-cut	140
Thailand (Classic)	Heated	AGL	5.38	Cushion-cut	143

Sapphire Index

Origin	Treatement	Laboratory Report	Carat	Cut	Lot
Burma (Myanmar)	None	AGL	3.00 (approximate)	Round	120
Burma (Myanmar)	None	Gübelin/SSEF	7.70	Emerald-cut	184
Ceylon (Sri Lanka)	None	GIA	8.67	Emerald-cut	43
Ceylon (Sri Lanka) (Star)	None	AGL	30.55	Oval-shaped cabochon	26
Ceylon (Sri Lanka) (Yellow)	None	AGL	8.12	Cushion-shaped	119
Ceylon (Sri Lanka) (Yellow-Orange)	None	AGL	15.00 (approximate)	Oval-shaped	136
Kashmir	None	AGL/AGTA/Gübelin/GIA	19.40	Cushion-shaped	22
Madagascar	None	AGL	7.29	Cushion-shaped	170
Madagascar	None	AGL	7.23	Cushion-shaped	170
N/A	Heated	GIA	5.69	Oval-shaped	78
N/A	None	GIA	2.84	Cushion-shaped	148

Emerald Index

Origin	Treatement	Laboratory Report	Carat	Cut	Lot
Colombian	Insignificant traditional	AGL	8.46	Emerald-cut	179
Colombian	Insignificant traditional	AGL	2.80 (approximate)	Emerald-cut	182
Colombian	Minor to moderate	AGL	2.05	Emerald-cut	174
Colombian	Minor traditional	AGL	4.23	Emerald-cut	25
Colombian	Minor traditional	AGL	2.13	Emerald-cut	71
Colombian	Minor traditional	AGL	17.00 (approximate total)	Emerald-cut	163



130. A Pair of Opal and Diamond Earrings, Arteau







